



TO: HCHS/SOL Steering Committee and Project Managers

CC: Subcommittee Chairs, Reading Center Investigators

FROM: Marston Youngblood, HCHS Coordinating Center

DATE: May 15, 2012

RE: HCHS/SOL Management Report for April 2012

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Attached is the HCHS/SOL Management Report produced during April 2012. We cut-off the inclusion of data used in this report on April 17th when the SAS analysis files were created. The content of the report is focused on Annual Follow-up and Endpoints in the HCHS/SOL cohort. (see the November 2011 OSMB report or earlier 2011 versions of the monthly management report on the study web site for descriptive statistics or recruitment related tables.)

Table 2.9 on ancillary study screening and recruitment now has preliminary information from Echo-SOL which started recruitment in October 2011. Screening forms entered by the respective ancillary studies indicate that 53.7% of our study participants have been screened by at least one ancillary study. Based on the screening information keyed through March 22nd, 58% of the participants screened for an ancillary study also agree to participate. Around 9% of the cohort (n=771) have agreed to participate in two studies, while 122 people have agreed to participate in three studies. The related table 2.9a on cumulative burden shows that individuals in 2-3 studies have contributed approximately 7 hours of their time above the baseline commitment. The relative few people participating in four studies average 16 hours of time spent in ancillary studies.

The results for the first year of annual follow-up for the 12,116 cohort members now outside the contact window (table 2.15a) shows the rate of contact was (95.5%), percent interviewed (87.4%), and eligibility for the next year AFU interview (98.7%). The comparable response rate for AFU year 2 interviews (table 2.15b) are 6,276 participants past the contact window for AFU 2 which is two years and six months post baseline exam. The rate of contact for the 2nd year was (95.5%), percent interviewed (83.9), and eligibility for the next year AFU interview (98.1%). Table 2.15c for AFU year 3 closed interviews has 1326 participants past the six-month long contact window. The rate of contact for the 3rd year cohort was (87.4%), percent interviewed (80.9%), and eligibility for the next year AFU interview (98.8%).

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Table 2.1 Completion of Baseline Exams by Center

	<u>Bronx</u> <u>(N=4156)</u>		<u>Chicago</u> <u>(N=4138)</u>		<u>Miami</u> <u>(N=4087)</u>		<u>San Diego</u> <u>(N=4099)</u>		<u>Overall</u> <u>(N=16480)</u>	
	N	%	N	%	N	%	N	%	N	%
Questionnaire battery										
a. Complete	3557	85.6	4039	97.6	3952	96.7	4039	98.5	15587	94.6
b. Partial	590	14.2	98	2.4	135	3.3	58	1.4	881	5.4
Exam Procedure										
a. Complete	3574	86.0	4071	98.4	3912	95.7	4021	98.1	15578	94.5
b. Partial	559	13.5	66	1.6	175	4.3	74	1.8	874	5.3
Full Protocol Visit										
a. Complete	3480	83.7	4016	97.1	3859	94.4	4010	97.8	15365	93.2
b. Partial	667	16.1	121	2.9	228	5.6	87	2.1	1103	6.7
Full Core Visit for Subgroup with a Partial Visit										
a. Core Questionnaires	543	81.4	90	74.4	178	78.1	48	55.2	859	77.9
b. Core Procedures	551	82.6	108	89.3	199	87.3	52	59.8	910	82.5
c. Complete Core Qx and Procedures	502	75.3	81	66.9	170	74.6	43	49.4	796	72.2
Full Protocol or Full Core Visits	3982	95.8	4097	99.0	4029	98.6	4053	98.9	16161	98.1
Full Annual Follow-Up Eligible Visits	4118		4134		4077		4086		16415	

Definitions: Complete = all forms (or procedures) are present per data collection schedule
Partial = at least one form (or procedure) is missing
Full protocol visit = Complete questionnaire battery + Complete exam procedures
Core visit = Anthro, ABP, SBP, ECG, Blood draw, Diet recall, Alcohol use, Econ, Medical Hx, Meds, Neurocognitive, Physical Act, SF-12, Sociocultural, Tobacco use, Identifiers and personal information
Full AFU Eligible - Anthro,SBP,BIOA and Blood draw,Medical Hx,Identifiers and personal information

Based on analysis files created on April 17, 2012.

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Table 2.9 Ancillary Study Screening and Recruitment by Center

Ancillary Study Screening and Enrollment	Bronx (N=4156)		Chicago (N=4138)		Miami (N=4087)		San Diego (N=4099)		Overall (N=16480)	
	N	%	N	%	N	%	N	%	N	%
Participants screened for ancillary studies¹	2112	50.8	2392	57.8	2348	57.5	2001	48.8	8853	53.7
Sociocultural screened for participation	1784		2118		2193		1736		7831	
Refuses to participate²	256	14.3	118	5.6	267	12.2	230	13.2	871	11.1
Agrees to participate (goal=5,280)	1360	76.2	1330	62.8	1317	60.1	1351	77.8	5358	68.4
SUENO screened for participation	237		641		224		105		1207	
Refuses to participate	17	7.2	53	8.3	18	8.0	2	1.9	90	7.5
Agrees to participate (goal=2,000)	194	81.9	272	42.4	176	78.6	103	98.1	745	61.7
SOLNAS screened for participation	380		317		218		311		1226	
Refuses to participate	93	24.5	79	24.9	13	6.0	65	20.9	250	20.4
Agrees to participate (goal=476)	164	43.2	140	44.2	166	76.1	138	44.4	608	49.6
ECHO SOL screened for participation	183		301		83		219		786	
Refuses to participate	5	2.7	22	7.3	0	--	5	2.3	32	4.1
Agrees to participate (goal=1800)	113	61.7	93	30.9	83	100.0	92	42.0	381	48.5
Overall Ancillary Study Enrollment										
Participant in one ancillary study	1339	63.4	1220	51.0	1261	53.7	1352	67.6	5172	58.4
Participant in two ancillary studies	177	8.4	267	11.2	185	7.9	142	7.1	771	8.7
Participant in three studies	42	2.0	27	1.1	37	1.6	16	0.8	122	1.4
Participant in four studies	3	0.1	0	--	0	--	0	--	3	0.0

Based on ancillary study analysis files ANE created on September 20, 2011 (Sociocultural) and April 10, 2012 (SUENO) and file TSE created on April 20, 2012 (SOLNAS) and file ESE created on March 22, 2012 (ECHO SOL) and the main Participant Derived Variable file.

- Note: 1) Denominator for overall participants screened is number seen for baseline examination.
2) Denominator for refusal agreement is number screened for an ancillary study.
3) Counts report only those who explicitly refuse or agree for all screening forms submitted to coordinating center and do not adjust for ineligibles or missing data.

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Table 2.9a Ancillary Study Estimates of Participant Burden by Center

Ancillary Study Estimated Burden in Hours	<u>Bronx</u> (N=4156)			<u>Chicago</u> (N=4138)			<u>Miami</u> (N=4087)			<u>San Diego</u> (N=4099)			<u>Overall</u> (N=16480)		
	N	Mean	s.d.	N	Mean	s.d.	N	Mean	s.d.	N	Mean	s.d.	N	Mean	s.d.
Participants in one ancillary study	1339	3.3	1.98	1220	3.3	1.98	1261	3.3	1.85	1352	3.5	2.07	5172	3.4	1.97
Participants in two ancillary studies	177	7.6	4.83	267	6.3	4.19	185	7.9	4.79	142	6.6	4.50	771	7.0	4.59
Participants in three studies	42	7.7	4.53	27	5.6	2.67	37	8.8	4.82	16	4.9	0.00	122	7.2	4.19
Participants in four studies	3	15.6	0.00	0	--	--	0	--	--	0	--	--	3	15.6	0.00

Based on ancillary study analysis files ANE created on September 20, 2011 (Sociocultural) and April 10, 2012 (SUENO) and file TSE created on April 20, 2012 (SOLNAS) and file ESE created on March 22, 2012 (ECHO SOL) and the main Participant Derived Variable file.

Note: 1) Denominator for overall participants screened is number seen for baseline examination.

2) Denominator for refusal agreement is number screened for an ancillary study.

3) Estimated average hours of burden per person is based upon the cumulative reported burden for individuals screened and agreeing to participate in those studies.

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**Table 2.13 Presence of a Phone Number for the Participant and their Various Contacts by Center
From Baseline Examination Contact Information Form**

Phone Numbers	Bronx (N=4118)		Miami (N=4077)		San Diego (N=4086)		Overall (N=12281)	
	N	%	N	%	N	%	N	%
Participant primary	4053	98.4	3941	96.7	4040	98.9	12034	98.0
Participant secondary	2108	51.2	1414	34.7	2138	52.3	5660	46.1
Participant with any phone number	4073	98.9	4062	99.6	4080	99.9	12215	99.5
First contact	3685	89.5	3605	88.4	3857	94.4	11147	90.8
Second contact	1501	36.5	1995	48.9	3668	89.8	7164	58.3
Third contact	174	4.2	344	8.4	1621	39.7	2139	17.4
At least one alternate contact phone number	3719	90.3	3625	88.9	3922	96.0	11266	91.7
Participants with no phone number for Follow-up	23	0.6	7	0.2	1	0.0	31	0.3

Based on analysis files created on April 17, 2012.

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**Table 2.13a Presence of a Phone Number for the Participant and their Various Contacts by Center
From Year 1 Annual Follow-up Contact Information Form**

Phone Numbers	Bronx (N=3365)		Miami (N=3714)		San Diego (N=3708)		Overall (N=10787)	
	N	%	N	%	N	%	N	%
Participant primary	3057	90.9	3606	97.1	3648	98.4	10311	95.6
Participant secondary	1728	51.4	1328	35.8	2012	54.3	5068	47.0
Participant with any phone number	3079	91.5	3664	98.7	3697	99.7	10440	96.8
First contact	2821	83.8	3565	96.0	3509	94.6	9895	91.7
Second contact	1185	35.2	2597	69.9	3312	89.3	7094	65.8
Third contact	182	5.4	886	23.9	1424	38.4	2492	23.1
At least one alternate contact phone number	2860	85.0	3586	96.6	3613	97.4	10059	93.3
Participants with no phone number for Follow-up	275	8.2	43	1.2	3	0.1	321	3.0

Based on analysis files created on April 17, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

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**Table 2.13b Presence of a Phone Number for the Participant and their Various Contacts by Center
From Year 2 Annual Follow-up Contact Information Form**

Phone Numbers	Bronx (N=2197)		Miami (N=2155)		San Diego (N=2460)		Overall (N=6812)	
	N	%	N	%	N	%	N	%
Participant primary	1694	77.1	1966	91.2	2233	90.8	5893	86.5
Participant secondary	988	45.0	601	27.9	1204	48.9	2793	41.0
Participant with any phone number	1715	78.1	1969	91.4	2277	92.6	5961	87.5
First contact	1570	71.5	1924	89.3	2113	85.9	5607	82.3
Second contact	633	28.8	1527	70.9	1967	80.0	4127	60.6
Third contact	91	4.1	611	28.4	443	18.0	1145	16.8
At least one alternate contact phone number	1605	73.1	1937	89.9	2211	89.9	5753	84.5
Participants with no phone number for Follow-up	479	21.8	184	8.5	176	7.2	839	12.3

Based on analysis files created on April 17, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

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**Table 2.13c Presence of a Phone Number for the Participant and their Various Contacts by Center
From Year 3 Annual Follow-up Contact Information Form**

Phone Numbers	Bronx (N=598)		Miami (N=472)		San Diego (N=800)		Overall (N=1870)	
	N	%	N	%	N	%	N	%
Participant primary	518	86.6	463	98.1	784	98.0	1765	94.4
Participant secondary	302	50.5	182	38.6	399	49.9	883	47.2
Participant with any phone number	526	88.0	464	98.3	794	99.3	1784	95.4
Local Contact 1	492	82.3	454	96.2	721	90.1	1667	89.1
Local Contact 2	225	37.6	375	79.5	647	80.9	1247	66.7
Local Contact 3	38	6.4	206	43.6	173	21.6	417	22.3
At least one alternate contact phone number	502	84.0	459	97.3	766	95.8	1727	92.4
Participants with no phone number for Follow-up	71	11.9	6	1.3	6	0.8	83	4.4

Based on analysis files created on April 17, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

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Table 2.14 Reported Vital Status for Participants after First Annual Follow-up Interview by Field Center
All study participants who are eligible for Annual Follow-up Contact Year 1

Reported Vital Status of Follow-up	Bronx (N=4118)		Chicago (N=4133)		Miami (N=4077)		San Diego (N=4086)		Overall (N=16414)	
	N	%	N	%	N	%	N	%	N	%
Expected Number of Annual Interviews	3907	94.9	3893	94.2	3856	94.6	3908	95.6	15564	94.8
Participants with AFU Information Present¹	3376	86.4	3573	91.8	3728	96.7	3716	95.1	14393	92.5
Contacted and Alive	3070	90.9	3175	88.9	3469	93.1	3273	88.1	12987	90.2
Contacted and Refused Interview	22	0.7	54	1.5	32	0.9	23	0.6	131	0.9
Not Contacted, Reported Alive	179	5.3	246	6.9	90	2.4	344	9.3	859	6.0
Not Contacted, Reported Deceased	12	0.4	7	0.2	14	0.4	8	0.2	41	0.3
Unknown Vital Status	93	2.8	91	2.6	123	3.3	68	1.8	375	2.6

Based on analysis files created on April 17, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

(1) AFU Present = If General Health section of AFU present with a valid value for question GHEA1 (does not depend on AFT submission).

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Table 2.14a Reported Vital Status for Participants after First Annual Follow-up Interview by Field Center
Only study participants who are past the Annual Follow-up Contact Year 1 window (baseline visit + 1.5 years)

Reported Vital Status of Follow-up	Bronx (N=4118)		Chicago (N=4133)		Miami (N=4077)		San Diego (N=4086)		Overall (N=16414)	
	N	%	N	%	N	%	N	%	N	%
Expected Number of Annual Interviews	3063	74.4	2936	71.0	2963	72.7	3154	77.2	12116	73.8
Participants with AFU Information Present¹	2905	94.8	2882	98.2	2959	99.9	3123	99.0	11869	98.0
Contacted and Alive	2621	90.2	2489	86.4	2705	91.4	2683	85.9	10498	88.5
Contacted and Refused Interview	22	0.8	52	1.8	32	1.1	22	0.7	128	1.1
Not Contacted, Reported Alive	164	5.7	245	8.5	88	3.0	344	11.0	841	7.1
Not Contacted, Reported Deceased	11	0.4	5	0.2	11	0.4	6	0.2	33	0.3
Unknown Vital Status	87	3.0	91	3.2	123	4.2	68	2.2	369	3.1

Based on analysis files created on April 17, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

(1) AFU Present = If General Health section of AFU present with a valid value for question GHEA1 (does not depend on AFT submission).

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Table 2.14b Reported Vital Status for Participants after Second Annual Follow-up Interview by Field Center
All study participants who are eligible for Annual Follow-up Contact Year 2

Reported Vital Status of Follow-up	Bronx (N=4105)		Chicago (N=4124)		Miami (N=4063)		San Diego (N=4077)		Overall (N=16369)	
	N	%	N	%	N	%	N	%	N	%
Expected Number of Annual Interviews	2299	56.0	2408	58.4	2157	53.1	2471	60.6	9335	57.0
Participants with AFU Information Present¹	1854	80.6	2261	93.9	2046	94.9	2302	93.2	8463	90.7
Contacted and Alive	1658	89.4	2039	90.2	1868	91.3	2012	87.4	7577	89.5
Contacted and Refused Interview	10	0.5	21	0.9	29	1.4	19	0.8	79	0.9
Not Contacted, Reported Alive	95	5.1	113	5.0	49	2.4	196	8.5	453	5.4
Not Contacted, Reported Deceased	9	0.5	7	0.3	11	0.5	2	0.1	29	0.3
Unknown Vital Status	82	4.4	81	3.6	89	4.4	73	3.2	325	3.8

Based on analysis files created on April 17, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

(1) AFU Present = If General Health section of AFU present with a valid value for question GHEA1 (does not depend on AFT submission).

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Table 2.14c Reported Vital Status for Participants after Third Annual Follow-up Interview by Field Center
All study participants who are eligible for Annual Follow-up Contact Year 3

Reported Vital Status of Follow-up	Bronx (N=4095)		Chicago (N=4116)		Miami (N=4052)		San Diego (N=4074)		Overall (N=16337)	
	N	%	N	%	N	%	N	%	N	%
Expected Number of Annual Interviews	922	22.5	988	24.0	631	15.6	1114	27.3	3655	22.4
Participants with AFU Information Present¹	616	66.8	702	71.1	517	81.9	817	73.3	2652	72.6
Contacted and Alive	552	89.6	668	95.2	423	81.8	773	94.6	2416	91.1
Contacted and Refused Interview	0	0.0	1	0.1	2	0.4	5	0.6	8	0.3
Not Contacted, Reported Alive	25	4.1	10	1.4	42	8.1	20	2.5	97	3.7
Not Contacted, Reported Deceased	5	0.8	1	0.1	5	1.0	2	0.2	13	0.5
Unknown Vital Status	34	5.5	22	3.1	45	8.7	17	2.1	118	4.5

Based on analysis files created on April 17, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

(1) AFU Present = If General Health section of AFU present with a valid value for question GHEB1 (does not depend on AFT submission).

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Table 2.15a First Annual Follow-up Response for Participants past the Year 1 Contact Window (Baseline visit + 1.5 years)

Reported Follow-up Status at End of Contact Year 1	Bronx (N=4118)		Chicago (N=4133)		Miami (N=4077)		San Diego (N=4086)		Overall (N=16414)	
	N	%	N	%	N	%	N	%	N	%
Expected Number of Annual Interviews	3063		2936		2963		3154		12116	
Missing AFU forms¹	131	4.3	7	0.2	2	0.1	20	0.6	160	1.3
Unknown Vital Status	93	3.0	94	3.2	123	4.2	70	2.2	380	3.1
Deceased	11	0.4	5	0.2	11	0.4	6	0.2	33	0.3
Contacted and Refused Interview	23	0.8	52	1.8	32	1.1	22	0.7	129	1.1
Contacted by Proxy, Reported Alive	171	5.6	253	8.6	88	3.0	345	10.9	857	7.1
Contacted and Interviewed	2634	86.0	2525	86.0	2707	91.4	2691	85.3	10557	87.1
Partial AFU Interview²	116	3.8	70	2.4	12	0.4	41	1.3	239	2.0
Core AFU Interview³	1	0.0	1	0.0	2	0.1	1	0.0	5	0.0
Complete AFU Interview⁴	2517	82.2	2454	83.6	2693	90.9	2649	84.0	10313	85.1
Contact rate for follow-up⁵	2839	92.7	2835	96.6	2838	95.8	3064	97.2	11576	95.5
Response rate for follow-up⁶	2634	86.3	2525	86.2	2707	91.7	2691	85.5	10557	87.4
Eligible for next year follow-up⁷	3029	98.9	2879	98.1	2920	98.6	3126	99.1	11954	98.7

Based on analysis files created on April 17, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

(1) AFU Missing: no forms present in database with data reported.

(2) Respondents with vital status but missing general health or hospitalization questions.

(3) Respondents with vital status and hospitalization information (GHEA1,GHEA2,HOEA3).

(4) AFU form content completed (GHEA,HOEA,OPEA,MEEA).

(5) Numerator: Number of expected AFU interviews minus Missing or Unknown status, Denominator: expected number of AFU Interviews.

(6) Numerator: Number contacted and interviewed directly, Denominator: expected number of Interviews from Cohort not Deceased.

(7) Numerator: Number not deceased or refused, Denominator: expected number of AFU interviews for year 1.

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Table 2.15a1 First Annual Follow-up Response for Participants past the Year 1 Contact Window (Baseline visit + 1.5 years), by Gender

Reported Follow-up Status at End of Contact Year 1	Female (N=9834)		Male (N=6580)		Overall (N=16414)	
	N	%	N	%	N	%
Expected Number of Annual Interviews	7245		4871		12116	
Missing AFU forms¹	91	1.3	69	1.4	160	1.3
Unknown Vital Status	186	2.6	194	4.0	380	3.1
Deceased	15	0.2	18	0.4	33	0.3
Contacted and Refused Interview	83	1.2	46	0.9	129	1.1
Contacted by Proxy, Reported Alive	460	6.4	397	8.2	857	7.1
Contacted and Interviewed	6410	88.5	4147	85.1	10557	87.1
Partial AFU Interview²	127	1.8	112	2.3	239	2.0
Core AFU Interview³	4	0.1	1	0.0	5	0.0
Complete AFU Interview⁴	6279	86.7	4034	82.8	10313	85.1
Contact rate for follow-up⁵	6968	96.2	4608	94.6	11576	95.5
Response rate for follow-up⁶	6410	88.7	4147	85.5	10557	87.4
Eligible for next year follow-up⁷	7147	98.7	4807	98.7	11954	98.7

Based on analysis files created on April 17, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

(1) AFU Missing: no forms present in database with data reported.

(2) Respondents with vital status but missing general health or hospitalization questions.

(3) Respondents with vital status and hospitalization information (GHEA1,GHEA2,HOEA3).

(4) AFU form content completed (GHEA,HOEA,OPEA,MEEA).

(5) Numerator: Number of expected AFU interviews minus Missing or Unknown status, Denominator: expected number of AFU Interviews.

(6) Numerator: Number contacted and interviewed directly, Denominator: expected number of Interviews from Cohort not Deceased.

(7) Numerator: Number not deceased or refused, Denominator: expected number of AFU interviews for year 1.

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Table 2.15a2 First Annual Follow-up Response for Participants past the Year 1 Contact Window (Baseline visit + 1.5 years), by Place of Birth

Reported Follow-up Status at End of Contact Year 1	Foreign Born (N=13479)		US Born (N=2863)		Missing (N=72)		Overall (N=16414)	
	N	%	N	%	N	%	N	%
Expected Number of Annual Interviews	9920		2136		60		12116	
Missing AFU forms ¹	95	1.0	44	2.1	21	35.0	160	1.3
Unknown Vital Status	299	3.0	75	3.5	6	10.0	380	3.1
Deceased	25	0.3	8	0.4	0	0.0	33	0.3
Contacted and Refused Interview	104	1.1	22	1.0	3	5.0	129	1.1
Contacted by Proxy, Reported Alive	626	6.3	225	10.5	6	10.0	857	7.1
Contacted and Interviewed	8771	88.4	1762	82.5	24	40.0	10557	87.1
Partial AFU Interview ²	173	1.7	65	3.0	1	1.7	239	2.0
Core AFU Interview ³	4	0.0	1	0.1	0	0.0	5	0.0
Complete AFU Interview ⁴	8594	86.6	1696	79.4	23	38.3	10313	85.1
Contact rate for follow-up⁵	9526	96.0	2017	94.4	33	55.0	11576	95.5
Response rate for follow-up⁶	8771	88.6	1762	82.8	24	40.0	10557	87.4
Eligible for next year follow-up⁷	9791	98.7	2106	98.6	57	95.0	11954	98.7

Based on analysis files created on April 17, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

(1) AFU Missing: no forms present in database with data reported.

(2) Respondents with vital status but missing general health or hospitalization questions.

(3) Respondents with vital status and hospitalization information (GHEA1,GHEA2,HOEA3).

(4) AFU form content completed (GHEA,HOEA,OPEA,MEEA).

(5) Numerator: Number of expected AFU interviews minus Missing or Unknown status, Denominator: expected number of AFU Interviews.

(6) Numerator: Number contacted and interviewed directly, Denominator: expected number of Interviews from Cohort not Deceased.

(7) Numerator: Number not deceased or refused, Denominator: expected number of AFU interviews for year 1.

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Table 2.15a3 First Annual Follow-up Response for Participants past the Year 1 Contact Window (Baseline visit + 1.5 years), by Employment Status

Reported Follow-up Status at End of Contact Year 1	Not Employed (N=7952)		Employed (N=8156)		Missing (N=306)		Overall (N=16414)	
	N	%	N	%	N	%	N	%
Expected Number of Annual Interviews	5800		6032		284		12116	
Missing AFU forms ¹	47	0.8	53	0.9	60	21.1	160	1.3
Unknown Vital Status	177	3.1	187	3.1	16	5.6	380	3.1
Deceased	23	0.4	9	0.2	1	0.4	33	0.3
Contacted and Refused Interview	52	0.9	70	1.2	7	2.5	129	1.1
Contacted by Proxy, Reported Alive	390	6.7	443	7.3	24	8.5	857	7.1
Contacted and Interviewed	5111	88.1	5270	87.4	176	62.0	10557	87.1
Partial AFU Interview ²	102	1.8	125	2.1	12	4.2	239	2.0
Core AFU Interview ³	3	0.1	2	0.0	0	0.0	5	0.0
Complete AFU Interview ⁴	5006	86.3	5143	85.3	164	57.8	10313	85.1
Contact rate for follow-up⁵	5576	96.1	5792	96.0	208	73.2	11576	95.5
Response rate for follow-up⁶	5111	88.5	5270	87.5	176	62.2	10557	87.4
Eligible for next year follow-up⁷	5725	98.7	5953	98.7	276	97.2	11954	98.7

Based on analysis files created on April 17, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

(1) AFU Missing: no forms present in database with data reported.

(2) Respondents with vital status but missing general health or hospitalization questions.

(3) Respondents with vital status and hospitalization information (GHEA1,GHEA2,HOEA3).

(4) AFU form content completed (GHEA,HOEA,OPEA,MEEA).

(5) Numerator: Number of expected AFU interviews minus Missing or Unknown status, Denominator: expected number of AFU Interviews.

(6) Numerator: Number contacted and interviewed directly, Denominator: expected number of Interviews from Cohort not Deceased.

(7) Numerator: Number not deceased or refused, Denominator: expected number of AFU interviews for year 1.

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Table 2.15b Second Annual Follow-up Response for Participants past the Year 2 Contact Window (Baseline visit + 2.5 years)

Reported Follow-up Status at End of Contact Year 2	Bronx (N=4108)		Chicago (N=4121)		Miami (N=4066)		San Diego (N=4083)		Overall (N=16378)	
	N	%	N	%	N	%	N	%	N	%
Expected Number of Annual Interviews	1443		1717		1276		1840		6276	
Missing AFU forms¹	18	1.3	0	0.0	0	0.0	1	0.1	19	0.3
Unknown Vital Status	47	3.3	84	4.9	71	5.6	63	3.4	265	4.2
Deceased	5	0.4	0	0.0	7	0.6	3	0.2	15	0.2
Contacted and Refused Interview	19	1.3	44	2.6	27	2.1	17	0.9	107	1.7
Contacted by Proxy, Reported Alive	70	4.9	206	12.0	53	4.2	286	15.5	615	9.8
Contacted and Interviewed	1284	89.0	1383	80.6	1118	87.6	1470	79.9	5255	83.7
Partial AFU Interview²	184	12.8	90	5.2	85	6.7	133	7.2	492	7.8
Core AFU Interview³	0	0.0	1	0.1	0	0.0	0	0.0	1	0.0
Complete AFU Interview⁴	1100	76.2	1292	75.3	1033	81.0	1337	72.7	4762	75.9
Contact rate for follow-up⁵	1378	95.5	1633	95.1	1205	94.4	1776	96.5	5992	95.5
Response rate for follow-up⁶	1284	89.3	1383	80.6	1118	88.1	1470	80.0	5255	83.9
Eligible for next year follow-up⁷	1419	98.3	1673	97.4	1242	97.3	1820	98.9	6154	98.1

Based on analysis files created on April 17, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

(1) AFU Missing: no forms present in database with data reported.

(2) Respondents with vital status but missing general health or hospitalization questions.

(3) Respondents with vital status and hospitalization information (GHEA1,GHEA2,HOEA3).

(4) AFU form content completed (GHEA,HOEA,OPEA,MEEB,EVEA).

(5) Numerator: Number of expected AFU interviews minus Missing or Unknown status, Denominator: expected number of AFU Interviews.

(6) Numerator: Number contacted and interviewed directly, Denominator: expected number of Interviews from Cohort not Deceased.

(7) Numerator: Number not deceased or refused, Denominator: expected number of AFU interviews for year 1.

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Table 2.15b1 Second Annual Follow-up Response for Participants past the Year 2 Contact Window (Baseline visit + 2.5 years) by gender

Reported Follow-up Status at End of Contact Year 2	Female (N=9815)		Male (N=6563)		Missing (N=&s ct)		Overall (N=16378)	
	N	%	N	%	N	%	N	%
Expected Number of Annual Interviews	3796		2480		0	0.0	6276	
Missing AFU forms¹	11	0.3	8	0.3	0	0.0	19	0.3
Unknown Vital Status	129	3.4	136	5.5	0	0.0	265	4.2
Deceased	6	0.2	9	0.4	0	0.0	15	0.2
Contacted and Refused Interview	69	1.8	38	1.5	0	0.0	107	1.7
Contacted by Proxy, Reported Alive	343	9.0	272	11.0	0	0.0	615	9.8
Contacted and Interviewed	3238	85.3	2017	81.3	0	0.0	5255	83.7
Partial AFU Interview²	286	7.5	206	8.3	0	0.0	492	7.8
Core AFU Interview³	1	0.0	0	0.0	0	0.0	1	0.0
Complete AFU Interview⁴	2951	77.7	1811	73.0	0	0.0	4762	75.9
Contact rate for follow-up⁵	3656	96.3	2336	94.2	0	0.0	5992	95.5
Response rate for follow-up⁶	3238	85.4	2017	81.6	0	0.0	5255	83.9
Eligible for next year follow-up⁷	3721	98.0	2433	98.1	0	0.0	6154	98.1

Based on analysis files created on April 17, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

(1) AFU Missing: no forms present in database with data reported.

(2) Respondents with vital status but missing general health or hospitalization questions.

(3) Respondents with vital status and hospitalization information (GHEA1,GHEA2,HOEA3).

(4) AFU form content completed (GHEA,HOEA,OPEA,MEEB,EVEA).

(5) Numerator: Number of expected AFU interviews minus Missing or Unknown status, Denominator: expected number of AFU Interviews.

(6) Numerator: Number contacted and interviewed directly, Denominator: expected number of Interviews from Cohort not Deceased.

(7) Numerator: Number not deceased or refused, Denominator: expected number of AFU interviews for year 1.

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Table 2.15b2 Second Annual Follow-up Response for Participants past the Year 2 Contact Window (Baseline visit + 2.5 years) by Place of Birth

Reported Follow-up Status at End of Contact Year 2	Foreign Born (N=13450)		US Born (N=2857)		Missing (N=71)		Overall (N=16378)	
	N	%	N	%	N	%	N	%
Expected Number of Annual Interviews	5108		1129		39		6276	
Missing AFU forms ¹	5	0.1	6	0.5	8	20.5	19	0.3
Unknown Vital Status	211	4.1	48	4.3	6	15.4	265	4.2
Deceased	12	0.2	3	0.3	0	0.0	15	0.2
Contacted and Refused Interview	86	1.7	18	1.6	3	7.7	107	1.7
Contacted by Proxy, Reported Alive	461	9.0	149	13.2	5	12.8	615	9.8
Contacted and Interviewed	4333	84.8	905	80.2	17	43.6	5255	83.7
Partial AFU Interview ²	379	7.4	107	9.5	6	15.4	492	7.8
Core AFU Interview ³	1	0.0	0	0.0	0	0.0	1	0.0
Complete AFU Interview ⁴	3953	77.4	798	70.7	11	28.2	4762	75.9
Contact rate for follow-up⁵	4892	95.8	1075	95.2	25	64.1	5992	95.5
Response rate for follow-up⁶	4333	85.0	905	80.4	17	43.6	5255	83.9
Eligible for next year follow-up⁷	5010	98.1	1108	98.1	36	92.3	6154	98.1

Based on analysis files created on April 17, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

(1) AFU Missing: no forms present in database with data reported.

(2) Respondents with vital status but missing general health or hospitalization questions.

(3) Respondents with vital status and hospitalization information (GHEA1,GHEA2,HOEA3).

(4) AFU form content completed (GHEA,HOEA,OPEA,MEEB,EVEA).

(5) Numerator: Number of expected AFU interviews minus Missing or Unknown status, Denominator: expected number of AFU Interviews.

(6) Numerator: Number contacted and interviewed directly, Denominator: expected number of Interviews from Cohort not Deceased.

(7) Numerator: Number not deceased or refused, Denominator: expected number of AFU interviews for year 1.

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Table 2.15b3 Second Annual Follow-up Response for Participants past the Year 2 Contact Window (Baseline visit + 2.5 years) by Employment Status

Reported Follow-up Status at End of Contact Year 2	Not Employed (N=7924)		Employed (N=8148)		Missing (N=306)		Overall (N=16378)	
	N	%	N	%	N	%	N	%
Expected Number of Annual Interviews	2882		3288		106		6276	
Missing AFU forms ¹	5	0.2	4	0.1	10	9.4	19	0.3
Unknown Vital Status	121	4.2	133	4.1	11	10.4	265	4.2
Deceased	9	0.3	6	0.2	0	0.0	15	0.2
Contacted and Refused Interview	41	1.4	59	1.8	7	6.6	107	1.7
Contacted by Proxy, Reported Alive	275	9.5	328	10.0	12	11.3	615	9.8
Contacted and Interviewed	2431	84.4	2758	83.9	66	62.3	5255	83.7
Partial AFU Interview ²	217	7.5	257	7.8	18	17.0	492	7.8
Core AFU Interview ³	0	0.0	1	0.0	0	0.0	1	0.0
Complete AFU Interview ⁴	2214	76.8	2500	76.0	48	45.3	4762	75.9
Contact rate for follow-up⁵	2756	95.6	3151	95.8	85	80.2	5992	95.5
Response rate for follow-up⁶	2431	84.6	2758	84.0	66	62.3	5255	83.9
Eligible for next year follow-up⁷	2832	98.3	3223	98.0	99	93.4	6154	98.1

Based on analysis files created on April 17, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

(1) AFU Missing: no forms present in database with data reported.

(2) Respondents with vital status but missing general health or hospitalization questions.

(3) Respondents with vital status and hospitalization information (GHEA1,GHEA2,HOEA3).

(4) AFU form content completed (GHEA,HOEA,OPEA,MEEB,EVEA).

(5) Numerator: Number of expected AFU interviews minus Missing or Unknown status, Denominator: expected number of AFU Interviews.

(6) Numerator: Number contacted and interviewed directly, Denominator: expected number of Interviews from Cohort not Deceased.

(7) Numerator: Number not deceased or refused, Denominator: expected number of AFU interviews for year 1.

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Table 2.15c Third Annual Follow-up Response for Participants past the Year 3 Contact Window (Baseline visit + 3.5 years)

Reported Follow-up Status at End of Contact Year 3	<u>Bronx</u> (N=4095)		<u>Chicago</u> (N=4116)		<u>Miami</u> (N=4052)		<u>San Diego</u> (N=4074)		<u>Overall</u> (N=16337)	
	N	%	N	%	N	%	N	%	N	%
Expected Number of Annual Interviews	344		271		322		389		1326	
Missing AFU forms¹	34	9.9	1	0.4	3	0.9	32	8.2	70	5.3
Unknown Vital Status	15	4.4	20	7.4	45	14.0	17	4.4	97	7.3
Deceased	3	0.9	1	0.4	5	1.6	1	0.3	10	0.8
Contacted and Refused Interview	0	0.0	0	0.0	2	0.6	4	1.0	6	0.5
Contacted by Proxy, Reported Alive	13	3.8	7	2.6	40	12.4	19	4.9	79	6.0
Contacted and Interviewed Participant/Designated Respondent	273	79.4	241	88.9	226	70.2	315	81.0	1055	79.6
Partial AFU Interview²	8	2.3	3	1.1	5	1.6	14	3.6	30	2.3
Core AFU Interview³	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Complete AFU Interview⁴	271	78.8	239	88.2	222	68.9	302	77.6	1034	78.0
Contact rate for follow-up⁵	295	85.8	250	92.3	274	85.1	340	87.4	1159	87.4
Response rate for follow-up⁶	279	81.8	242	89.6	227	71.6	316	81.4	1064	80.9
Eligible for next year follow-up⁷	341	99.1	270	99.6	315	97.8	384	98.7	1310	98.8

Based on analysis files created on April 17, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

(1) AFU Missing: no forms present in database with data reported.

(2) Respondents with vital status but missing general health or hospitalization questions.

(3) Respondents with vital status and hospitalization information (GHEB1, GHEB2, HOEA3 for participants and GHEA1 and HOEA3 only for designated respondents).

(4) AFU form content completed (GHEB,HOEA,OPEA,MEEC,EVEB).

(5) Numerator: Number of expected AFU interviews minus Missing or Unknown status, Denominator: expected number of AFU Interviews.

(6) Numerator: Number contacted and interviewed, Denominator: expected number of Interviews from Cohort not Deceased.

(7) number of AFU interviews for year 3.

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Table 2.16b Expected and Attempted Number of Second Annual Follow-up Interviews by Field Center

All study participants who are eligible for Annual Follow-up Contact Year 2

Status of Follow-Up	Bronx (N=4118)		Chicago (N=4133)		Miami (N=4077)		San Diego (N=4086)		Overall (N=16414)	
	N	%	N	%	N	%	N	%	N	%
Expected Number of Annual Interviews	2309	56.1	2414	58.4	2166	53.1	2476	60.6	9365	57.1
Attempted Number of Interviews¹	1941	84.1	2367	98.1	2154	99.5	2435	98.3	8897	95.0
Pending	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Tracing	82	4.2	71	3.0	110	5.1	58	2.4	321	3.6
Alive/not contacted - attempt in same year	71	3.7	43	1.8	68	3.2	132	5.4	314	3.5
Alive/not contacted - contact not possible this year	113	5.8	116	4.9	53	2.5	179	7.4	461	5.2
Refusals²	17	0.9	16	0.7	22	1.0	21	0.9	76	0.9
Deceased²	9	0.5	11	0.5	11	0.5	3	0.1	34	0.4
Unknown²	53	2.7	80	3.4	92	4.3	68	2.8	293	3.3
Partial Interview/reschedule²	18	0.9	4	0.2	4	0.2	2	0.1	28	0.3
Completed Interviews - Participant²	1571	80.9	1995	84.3	1784	82.8	1956	80.3	7306	82.1
Completed Interviews - Informant²	7	0.4	31	1.3	10	0.5	16	0.7	64	0.7

Based on analysis files created on April 17, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

(1) Based on number of Annual Follow-Up Tracking Forms submitted.

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Table 2.16a Expected and Attempted Number of Annual Follow-up Interviews by Field Center

All study participants who are eligible for Annual Follow-up Contact Year 1

Status of Follow-Up	<u>Bronx (N=4118)</u>		<u>Chicago (N=4133)</u>		<u>Miami (N=4077)</u>		<u>San Diego (N=4086)</u>		<u>Overall (N=16414)</u>	
	N	%	N	%	N	%	N	%	N	%
Expected Number of Annual Interviews	3907	94.9	3893	94.2	3856	94.6	3908	95.6	15564	94.8
Attempted Number of Interviews¹	3508	89.8	3772	96.9	3815	98.9	3872	99.1	14967	96.2
Pending	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Tracing	44	1.3	107	2.8	56	1.5	59	1.5	266	1.8
Alive/not contacted - attempt in same year	70	2.0	54	1.4	72	1.9	172	4.4	368	2.5
Alive/not contacted - contact not possible this year	321	9.2	270	7.2	89	2.3	330	8.5	1010	6.8
Refusals²	33	0.9	37	1.0	31	0.8	24	0.6	125	0.8
Deceased²	13	0.4	7	0.2	14	0.4	8	0.2	42	0.3
Unknown²	56	1.6	93	2.5	125	3.3	71	1.8	345	2.3
Partial Interview/reschedule²	143	4.1	98	2.6	57	1.5	113	2.9	411	2.8
Completed Interviews - Participant²	2817	80.3	3019	80.0	3365	88.2	3075	79.4	12276	82.0
Completed Interviews - Informant²	11	0.3	87	2.3	6	0.2	20	0.5	124	0.8

Based on analysis files created on April 17, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

(1) Based on number of Annual Follow-Up Tracking Forms submitted.

(2) AFTA coding requires an Annual Follow-Up (GHEA part of AFU) form to be entered.

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Table 2.16c Expected and Attempted Number of Third Annual Follow-up Interviews by Field Center

All study participants who are eligible for Annual Follow-up Contact Year 3

Status of Follow-Up	<u>Bronx</u> (N=4118)		<u>Chicago</u> (N=4133)		<u>Miami</u> (N=4077)		<u>San Diego</u> (N=4086)		<u>Overall</u> (N=16414)	
	N	%	N	%	N	%	N	%	N	%
Expected Number of Annual Interviews	933	22.7	997	24.1	638	15.7	1119	27.4	3687	22.5
Attempted Number of Interviews¹	683	73.2	914	91.7	625	98.0	1073	95.9	3295	89.4
Pending	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Tracing	61	8.9	157	17.2	71	11.4	109	10.2	398	12.1
Alive/not contacted - attempt in same year	41	6.0	60	6.6	37	5.9	168	15.7	306	9.3
Alive/not contacted - contact not possible this year	24	3.5	9	1.0	43	6.9	31	2.9	107	3.3
Refusals²	1	0.2	1	0.1	3	0.5	6	0.6	11	0.3
Deceased²	6	0.9	1	0.1	6	1.0	3	0.3	16	0.5
Unknown²	14	2.1	19	2.1	44	7.0	14	1.3	91	2.8
Partial Interview/reschedule²	11	1.6	2	0.2	3	0.5	0	0.0	16	0.5
Completed Interviews - Participant²	523	76.6	662	72.4	415	66.4	741	69.1	2341	71.1
Completed Interviews - Informant²	2	0.3	3	0.3	3	0.5	1	0.1	9	0.3

Based on analysis files created on April 17, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

(1) Based on number of Annual Follow-Up Tracking Forms submitted.

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Table 2.17a. Number of First Annual Follow-Up/Food Propensity Interviews by Center

Only study participants who are past the Annual Follow-up Contact Year 1 window (baseline visit + 1.5 years)

Interview Component	<u>Bronx</u>			<u>Chicago</u>			<u>Miami</u>			<u>San Diego</u>			<u>Overall</u>		
	<u>Expected</u>	<u>Completed</u>		<u>Expected</u>	<u>Completed</u>		<u>Expected</u>	<u>Completed</u>		<u>Expected</u>	<u>Completed</u>		<u>Expected</u>	<u>Completed</u>	
	N	N	%	N	N	%	N	N	%	N	N	%	N	N	%
Expected Number of Annual Interviews	3063			2936			2963			3154			12116		
Annual Follow-Up															
GHE - General Health¹	3063	2906	94.9	2936	2882	98.2	2963	2959	99.9	3154	3123	99.0	12116	11870	98.0
HOE - Hospitalization/Emergency Dept. Events²	2624	2537	96.7	2492	2472	99.2	2710	2703	99.7	2689	2674	99.4	10515	10386	98.8
OPE - Out Patient Conditions²	2622	2539	96.8	2489	2478	99.6	2705	2699	99.8	2683	2670	99.5	10499	10386	98.9
MEE - Medications²	2622	2526	96.3	2489	2461	98.9	2705	2696	99.7	2683	2662	99.2	10499	10345	98.5
CIE - Contact Information³	2895	2710	93.6	2877	2849	99.0	2948	2944	99.9	3117	3115	99.9	11837	11618	98.1
Food Propensity¹	2622			2489			2705			2683			10499		
Version A - Long Form		664	25.3		566	22.7		501	18.5		696	25.9		2427	23.1
Version B - Short Form		1858	70.9		1934	77.7		2165	80.0		1884	70.2		7841	74.7

Based on analysis files created on April 17, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

Note: Expected number of interviews is based on the number of participants within the window for annual follow-up contacts.

(1) To be completed for all participants where an AFU is expected, and is observed if General Health section of AFU present with a valid value for question GHEA1.

(2) Expected only if participant was contacted and alive (GHEA1=1). Denominator is number of AFU interviews.

(3) Expected only if participant was NOT reported as deceased (GHEA1=1,2,3,9). Denominator is number of AFU interviews.

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Table 2.17b. Number of Second Annual Follow-Up Interviews by Center

Only study participants who are past the Annual Follow-up Contact Year 2 window (baseline visit + 2.5 years)

Interview Component	<u>Bronx</u>			<u>Chicago</u>			<u>Miami</u>			<u>San Diego</u>			<u>Overall</u>		
	<u>Expected</u>	<u>Completed</u>		<u>Expected</u>	<u>Completed</u>		<u>Expected</u>	<u>Completed</u>		<u>Expected</u>	<u>Completed</u>		<u>Expected</u>	<u>Completed</u>	
	N	N	%	N	N	%	N	N	%	N	N	%	N	N	%
Expected Number of Annual Interviews	1425			1700			1254			1820			6199		
Annual Follow-Up															
GHE - General Health¹	1425	1354	95.0	1700	1692	99.5	1254	1245	99.3	1820	1768	97.1	6199	6059	97.7
HOE - Hospitalization/Emergency Dept. Events²	1212	1183	97.6	1497	1495	99.9	1101	1098	99.7	1498	1495	99.8	5308	5271	99.3
OPE - Out Patient Conditions²	1212	1179	97.3	1497	1493	99.7	1101	1098	99.7	1498	1495	99.8	5308	5265	99.2
EVE - Self-Reported Events²	1212	1184	97.7	1497	1492	99.7	1101	1098	99.7	1498	1487	99.3	5308	5261	99.1
MEE - Medications²	1212	1161	95.8	1497	1489	99.5	1101	1096	99.5	1498	1491	99.5	5308	5237	98.7
CIE - Contact Information³	1354	1326	97.9	1692	1690	99.9	1245	1245	100.0	1768	1766	99.9	6059	6027	99.5

Based on analysis files created on April 17, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

Note: Expected number of interviews is based on the number of participants within the window for annual follow-up contacts.

(1) To be completed for all participants where an AFU is expected, and is observed if General Health section of AFU present with a valid value for question GHEA1.

(2) Expected only if participant was contacted and alive (GHEA1=1). Denominator is number of AFU interviews.

(3) Expected only if participant was NOT reported as deceased (GHEA1=1,2,3,9). Denominator is number of AFU interviews.

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Table 2.17c. Number of Third Annual Follow-Up Interviews by Center
All study participants who are eligible for Annual Follow-up Contact Year 3

Interview Component	<u>Bronx</u>			<u>Chicago</u>			<u>Miami</u>			<u>San Diego</u>			<u>Overall</u>		
	<u>Expected</u>	<u>Completed</u>		<u>Expected</u>	<u>Completed</u>		<u>Expected</u>	<u>Completed</u>		<u>Expected</u>	<u>Completed</u>		<u>Expected</u>	<u>Completed</u>	
	N	N	%	N	N	%	N	N	%	N	N	%	N	N	%
Expected Number of Annual Interviews	340			270			316			383			1309		
Annual Follow-Up															
GHE - General Health¹	344	307	89.2	272	267	98.2	320	313	97.8	384	352	91.7	1320	1239	93.9
HOE - Hospitalization/Emergency Dept. Events²	279	273	97.8	241	241	100.0	227	224	98.7	316	303	95.9	1063	1041	97.9
OPE - Out Patient Conditions²	279	273	97.8	241	241	100.0	227	225	99.1	316	304	96.2	1063	1043	98.1
EVE - Self-Reported Events²	279	273	97.8	241	241	100.0	227	224	98.7	316	305	96.5	1063	1043	98.1
MEE - Medications²	279	271	97.1	241	240	99.6	227	224	98.7	316	305	96.5	1063	1040	97.8
CBE - place of Birth²	279	272	97.5	241	241	100.0	227	223	98.2	316	295	93.4	1063	1031	97.0
CIE - Contact Information³	307	268	87.3	267	0	0.0	313	306	97.8	352	346	98.3	1239	920	74.3

Based on analysis files created on April 17, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

Note: Expected number of interviews is based on the number of participants within the window for annual follow-up contacts.

(1) To be completed for all participants where an AFU is expected, and is observed if General Health section of AFU present with a valid value for question GHEA1.

(2) Expected only if participant was contacted and alive (GHEB1=1) or if Designated respondent contacted, reported alive (GHEB1=3). Denominator is number of expected interviews.

(3) Expected only if participant was NOT reported as deceased (GHEB1=1,2,3,9). Denominator is number of expected interviews.

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Table 2.18. Hospitalization and Emergency Department Visits* by Center

Preliminary Reports	<u>Bronx</u>		<u>Chicago</u>		<u>Miami</u>		<u>San Diego</u>		<u>Overall</u>	
	N	%	N	%	N	%	N	%	N	%
Number of Participants with AFU Present	5758		6476		6326		6845		25405	
Number of Participants with a Hospital/Emergency Dept. Admissions (at least one)	856	25.4	592	16.4	516	13.8	528	14.2	2492	17.2
Number of Participants with multiple Hospital/Emergency Dept. Admissions	167	5.0	103	2.8	85	2.3	82	2.2	437	3.0
Total Hospital/Emergency Dept. Admissions	1767		1407		1127		1133		5434	
Emergency Department	1043		623		559		624		2849	
Myocardial infarction, heart attack	5	0.5	1	0.2	0	0.0	6	1.0	12	0.4
Angina, chest pain	39	3.7	17	2.7	16	2.9	18	2.9	90	3.2
Heart failure	4	0.4	3	0.5	1	0.2	1	0.2	9	0.3
Stroke or TIA	5	0.5	1	0.2	2	0.4	5	0.8	13	0.5
Peripheral vascular disease	1	0.1	0	0.0	3	0.5	2	0.3	6	0.2
Venous thrombosis or pulmonary embolism	2	0.2	0	0.0	0	0.0	0	0.0	2	0.1
Chronic Obstructive Pulmonary Disease, emphysema, or chronic bronchitis	8	0.8	4	0.6	3	0.5	3	0.5	18	0.6
Asthma	84	8.1	9	1.4	13	2.3	5	0.8	111	3.9
Other	893	85.6	585	93.9	519	92.8	580	93.0	2577	90.5
Hospitalizations	247		283		198		365		1093	
Myocardial infarction, heart attack	1	0.4	0	0.0	5	2.5	4	1.1	10	0.9
Angina, chest pain	8	3.2	8	2.8	6	3.0	7	1.9	29	2.7
Heart failure	1	0.4	2	0.7	3	1.5	3	0.8	9	0.8
Stroke or TIA	3	1.2	0	0.0	3	1.5	5	1.4	11	1.0
Peripheral vascular disease	1	0.4	2	0.7	0	0.0	2	0.6	5	0.5
Venous thrombosis or pulmonary embolism	0	0.0	1	0.4	1	0.5	0	0.0	2	0.2
Chronic Obstructive Pulmonary Disease, emphysema, or chronic bronchitis	0	0.0	3	1.1	1	0.5	0	0.0	4	0.4
Asthma	11	4.5	1	0.4	3	1.5	1	0.3	16	1.5
Other	222	89.9	266	94.0	176	88.9	341	93.4	1005	92.0

Based on analysis files created on April 17, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

*Participants may have more than one hospital/Emergency Dept. visit.

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Table 2.18. Hospitalization and Emergency Department Visits* by Center

Preliminary Reports	<u>Bronx</u>		<u>Chicago</u>		<u>Miami</u>		<u>San Diego</u>		<u>Overall</u>	
	N	%	N	%	N	%	N	%	N	%
Both Emergency Dept. and Hospitalizations (at once)	477		492		364		139		1472	
Myocardial infarction, heart attack	14	2.9	2	0.4	14	3.9	3	2.2	33	2.2
Angina, chest pain	36	7.6	38	7.7	22	6.0	11	7.9	107	7.3
Heart failure	6	1.3	8	1.6	8	2.2	1	0.7	23	1.6
Stroke or TIA	9	1.9	7	1.4	21	5.8	0	0.0	37	2.5
Peripheral vascular disease	3	0.6	1	0.2	3	0.8	2	1.4	9	0.6
Venous thrombosis or pulmonary embolism	1	0.2	2	0.4	1	0.3	0	0.0	4	0.3
Chronic Obstructive Pulmonary Disease, emphysema, or chronic bronchitis	4	0.8	5	1.0	2	0.6	0	0.0	11	0.8
Asthma	25	5.2	11	2.2	7	1.9	0	0.0	43	2.9
Other	377	79.0	418	85.0	286	78.6	119	85.6	1200	81.5
Unknown/Missing	0		9		6		5		20	

Based on analysis files created on April 17, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

*Participants may have more than one hospital/Emergency Dept. visit.

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Table 2.18a. Hospitalization and Emergency Department Visits* by Event Year and Center for Event Year of 2008 Only

Preliminary Reports	<u>Bronx</u>		<u>Chicago</u>		<u>Miami</u>		<u>San Diego</u>		<u>Overall</u>	
	N	%	N	%	N	%	N	%	N	%
Number of Participants with a Hospital/Emergency Dept. Admissions (at least one)	26		10		14		17		67	
Number of Participants with multiple Hospital/Emergency Dept. Admissions	11	42.3	1	10.0	4	28.6	6	35.3	22	32.8
Total Hospital/Emergency Dept. Admissions	36		11		28		25		100	
Emergency Department	22		6		11		15		54	
Myocardial infarction, heart attack	1	4.6	0	0.0	0	0.0	0	0.0	1	1.9
Angina, chest pain	2	9.1	0	0.0	2	18.2	1	6.7	5	9.3
Heart failure	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Stroke or TIA	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Peripheral vascular disease	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Venous thrombosis or pulmonary embolism	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Chronic Obstructive Pulmonary Disease, emphysema, or chronic bronchitis	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Asthma	0	0.0	1	16.7	0	0.0	0	0.0	1	1.9
Other	19	86.4	5	83.3	9	81.8	14	93.3	47	87.0
Hospitalizations	7		2		3		5		17	
Myocardial infarction, heart attack	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Angina, chest pain	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Heart failure	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Stroke or TIA	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Peripheral vascular disease	0	0.0	0	0.0	0	0.0	1	20.0	1	5.9
Venous thrombosis or pulmonary embolism	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Chronic Obstructive Pulmonary Disease, emphysema, or chronic bronchitis	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Asthma	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Other	7	100.0	2	100.0	3	100.0	4	80.0	16	94.1

Based on analysis files created on April 17, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

*Participants may have more than one hospital/Emergency Dept. visit.

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Table 2.18a. Hospitalization and Emergency Department Visits* by Event Year and Center for Event Year of 2008 Only

Preliminary Reports	<u>Bronx</u>		<u>Chicago</u>		<u>Miami</u>		<u>San Diego</u>		<u>Overall</u>	
	N	%	N	%	N	%	N	%	N	%
Both Emergency Dept. and Hospitalizations (at once)	7		3		14		5		29	
Myocardial infarction, heart attack	1	14.3	0	0.0	4	28.6	0	0.0	5	17.2
Angina, chest pain	0	0.0	0	0.0	1	7.1	0	0.0	1	3.5
Heart failure	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Stroke or TIA	1	14.3	0	0.0	0	0.0	0	0.0	1	3.5
Peripheral vascular disease	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Venous thrombosis or pulmonary embolism	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Chronic Obstructive Pulmonary Disease, emphysema, or chronic bronchitis	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Asthma	0	0.0	1	33.3	0	0.0	0	0.0	1	3.5
Other	5	71.4	2	66.7	9	64.3	5	100.0	21	72.4
Unknown/Missing	0		0		0		0		0	

Based on analysis files created on April 17, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

*Participants may have more than one hospital/Emergency Dept. visit.

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Table 2.18b. Hospitalization and Emergency Department Visits* by Event Year and Center for Event Year of 2009 Only

Preliminary Reports	Bronx		Chicago		Miami		San Diego		Overall	
	N	%	N	%	N	%	N	%	N	%
Number of Participants with a Hospital/Emergency Dept. Admissions (at least one)	203		110		115		137		565	
Number of Participants with multiple Hospital/Emergency Dept. Admissions	48	23.7	17	15.5	20	17.4	32	23.4	117	20.7
Total Hospital/Emergency Dept. Admissions	275		159		169		205		808	
Emergency Department	145		65		71		106		387	
Myocardial infarction, heart attack	0	0.0	1	1.5	0	0.0	0	0.0	1	0.3
Angina, chest pain	5	3.5	0	0.0	4	5.6	4	3.8	13	3.4
Heart failure	1	0.7	1	1.5	0	0.0	0	0.0	2	0.5
Stroke or TIA	0	0.0	1	1.5	1	1.4	0	0.0	2	0.5
Peripheral vascular disease	0	0.0	0	0.0	2	2.8	1	0.9	3	0.8
Venous thrombosis or pulmonary embolism	1	0.7	0	0.0	0	0.0	0	0.0	1	0.3
Chronic Obstructive Pulmonary Disease, emphysema, or chronic bronchitis	1	0.7	1	1.5	0	0.0	0	0.0	2	0.5
Asthma	8	5.5	2	3.1	1	1.4	0	0.0	11	2.8
Other	129	89.0	59	90.8	63	88.7	101	95.3	352	91.0
Hospitalizations	43		24		43		62		172	
Myocardial infarction, heart attack	0	0.0	0	0.0	0	0.0	1	1.6	1	0.6
Angina, chest pain	1	2.3	1	4.2	1	2.3	2	3.2	5	2.9
Heart failure	0	0.0	0	0.0	0	0.0	1	1.6	1	0.6
Stroke or TIA	3	7.0	0	0.0	1	2.3	1	1.6	5	2.9
Peripheral vascular disease	1	2.3	0	0.0	0	0.0	0	0.0	1	0.6
Venous thrombosis or pulmonary embolism	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Chronic Obstructive Pulmonary Disease, emphysema, or chronic bronchitis	0	0.0	1	4.2	0	0.0	0	0.0	1	0.6
Asthma	2	4.7	0	0.0	2	4.7	0	0.0	4	2.3
Other	36	83.7	22	91.7	39	90.7	57	91.9	154	89.5

Based on analysis files created on April 17, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

*Participants may have more than one hospital/Emergency Dept. visit.

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Table 2.18b. Hospitalization and Emergency Department Visits* by Event Year and Center for Event Year of 2009 Only

Preliminary Reports	<u>Bronx</u>		<u>Chicago</u>		<u>Miami</u>		<u>San Diego</u>		<u>Overall</u>	
	N	%	N	%	N	%	N	%	N	%
Both Emergency Dept. and Hospitalizations (at once)	87		69		55		35		246	
Myocardial infarction, heart attack	0	0.0	1	1.5	2	3.6	2	5.7	5	2.0
Angina, chest pain	9	10.3	5	7.3	3	5.5	3	8.6	20	8.1
Heart failure	1	1.2	2	2.9	1	1.8	0	0.0	4	1.6
Stroke or TIA	2	2.3	1	1.5	7	12.7	0	0.0	10	4.1
Peripheral vascular disease	1	1.2	0	0.0	1	1.8	0	0.0	2	0.8
Venous thrombosis or pulmonary embolism	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Chronic Obstructive Pulmonary Disease, emphysema, or chronic bronchitis	1	1.2	1	1.5	0	0.0	0	0.0	2	0.8
Asthma	4	4.6	1	1.5	2	3.6	0	0.0	7	2.9
Other	69	79.3	58	84.1	39	70.9	29	82.9	195	79.3
Unknown/Missing	0		1		0		2		3	

Based on analysis files created on April 17, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

*Participants may have more than one hospital/Emergency Dept. visit.

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Table 2.18c. Hospitalization and Emergency Department Visits* by Event Year and Center for Event Year of 2010 Only

Preliminary Reports	<u>Bronx</u>		<u>Chicago</u>		<u>Miami</u>		<u>San Diego</u>		<u>Overall</u>	
	N	%	N	%	N	%	N	%	N	%
Number of Participants with a Hospital/Emergency Dept. Admissions (at least one)	272		227		192		180		871	
Number of Participants with multiple Hospital/Emergency Dept. Admissions	49	18.0	46	20.3	25	13.0	31	17.2	151	17.3
Total Hospital/Emergency Dept. Admissions	555		485		343		348		1731	
Emergency Department	308		192		174		194		868	
Myocardial infarction, heart attack	1	0.3	0	0.0	0	0.0	2	1.0	3	0.4
Angina, chest pain	14	4.6	6	3.1	1	0.6	6	3.1	27	3.1
Heart failure	1	0.3	0	0.0	0	0.0	0	0.0	1	0.1
Stroke or TIA	1	0.3	0	0.0	0	0.0	0	0.0	1	0.1
Peripheral vascular disease	0	0.0	0	0.0	1	0.6	0	0.0	1	0.1
Venous thrombosis or pulmonary embolism	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Chronic Obstructive Pulmonary Disease, emphysema, or chronic bronchitis	3	1.0	0	0.0	2	1.2	0	0.0	5	0.6
Asthma	21	6.8	3	1.6	7	4.0	3	1.6	34	3.9
Other	267	86.7	183	95.3	163	93.7	181	93.3	794	91.5
Hospitalizations	70		131		40		111		352	
Myocardial infarction, heart attack	1	1.4	0	0.0	2	5.0	2	1.8	5	1.4
Angina, chest pain	2	2.9	6	4.6	1	2.5	3	2.7	12	3.4
Heart failure	1	1.4	0	0.0	0	0.0	0	0.0	1	0.3
Stroke or TIA	0	0.0	0	0.0	0	0.0	1	0.9	1	0.3
Peripheral vascular disease	0	0.0	1	0.8	0	0.0	0	0.0	1	0.3
Venous thrombosis or pulmonary embolism	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Chronic Obstructive Pulmonary Disease, emphysema, or chronic bronchitis	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Asthma	3	4.3	0	0.0	0	0.0	1	0.9	4	1.1
Other	63	90.0	124	94.7	37	92.5	104	93.7	328	93.2

Based on analysis files created on April 17, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

*Participants may have more than one hospital/Emergency Dept. visit.

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Table 2.18c. Hospitalization and Emergency Department Visits* by Event Year and Center for Event Year of 2010 Only

Preliminary Reports	<u>Bronx</u>		<u>Chicago</u>		<u>Miami</u>		<u>San Diego</u>		<u>Overall</u>	
	N	%	N	%	N	%	N	%	N	%
Both Emergency Dept. and Hospitalizations (at once)	177		162		127		42		508	
Myocardial infarction, heart attack	5	2.8	0	0.0	2	1.6	0	0.0	7	1.4
Angina, chest pain	13	7.3	14	8.6	3	2.4	4	9.5	34	6.7
Heart failure	2	1.1	3	1.9	2	1.6	1	2.4	8	1.6
Stroke or TIA	4	2.3	2	1.2	5	3.9	0	0.0	11	2.2
Peripheral vascular disease	2	1.1	0	0.0	0	0.0	1	2.4	3	0.6
Venous thrombosis or pulmonary embolism	0	0.0	2	1.2	0	0.0	0	0.0	2	0.4
Chronic Obstructive Pulmonary Disease, emphysema, or chronic bronchitis	1	0.6	1	0.6	1	0.8	0	0.0	3	0.6
Asthma	10	5.7	5	3.1	2	1.6	0	0.0	17	3.4
Other	140	79.1	135	83.3	112	88.2	36	85.7	423	83.3
Unknown/Missing	0		0		2		1		3	

Based on analysis files created on April 17, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

*Participants may have more than one hospital/Emergency Dept. visit.

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Table 2.18d. Hospitalization and Emergency Department Visits* by Event Year and Center for Event Year of 2011 Only

Preliminary Reports	<u>Bronx</u>		<u>Chicago</u>		<u>Miami</u>		<u>San Diego</u>		<u>Overall</u>	
	N	%	N	%	N	%	N	%	N	%
Number of Participants with a Hospital/Emergency Dept. Admissions (at least one)	309		208		170		172		859	
Number of Participants with multiple Hospital/Emergency Dept. Admissions	53	17.2	38	18.3	35	20.6	12	7.0	138	16.1
Total Hospital/Emergency Dept. Admissions	627		517		428		386		1958	
Emergency Department	384		263		212		220		1079	
Myocardial infarction, heart attack	1	0.3	0	0.0	0	0.0	1	0.5	2	0.2
Angina, chest pain	13	3.4	9	3.4	8	3.8	5	2.3	35	3.2
Heart failure	1	0.3	2	0.8	1	0.5	1	0.5	5	0.5
Stroke or TIA	3	0.8	0	0.0	0	0.0	4	1.8	7	0.7
Peripheral vascular disease	1	0.3	0	0.0	0	0.0	1	0.5	2	0.2
Venous thrombosis or pulmonary embolism	1	0.3	0	0.0	0	0.0	0	0.0	1	0.1
Chronic Obstructive Pulmonary Disease, emphysema, or chronic bronchitis	4	1.0	2	0.8	1	0.5	3	1.4	10	0.9
Asthma	44	11.5	2	0.8	3	1.4	2	0.9	51	4.7
Other	316	82.3	246	93.5	198	93.4	202	91.8	962	89.2
Hospitalizations	98		93		87		125		403	
Myocardial infarction, heart attack	0	0.0	0	0.0	3	3.5	1	0.8	4	1.0
Angina, chest pain	2	2.0	0	0.0	3	3.5	0	0.0	5	1.2
Heart failure	0	0.0	1	1.1	3	3.5	2	1.6	6	1.5
Stroke or TIA	0	0.0	0	0.0	1	1.2	3	2.4	4	1.0
Peripheral vascular disease	0	0.0	1	1.1	0	0.0	1	0.8	2	0.5
Venous thrombosis or pulmonary embolism	0	0.0	1	1.1	1	1.2	0	0.0	2	0.5
Chronic Obstructive Pulmonary Disease, emphysema, or chronic bronchitis	0	0.0	2	2.2	0	0.0	0	0.0	2	0.5
Asthma	3	3.1	1	1.1	1	1.2	0	0.0	5	1.2
Other	93	94.9	87	93.6	75	86.2	117	93.6	372	92.3

Based on analysis files created on April 17, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

*Participants may have more than one hospital/Emergency Dept. visit.

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Table 2.18d. Hospitalization and Emergency Department Visits* by Event Year and Center for Event Year of 2011 Only

Preliminary Reports	<u>Bronx</u>		<u>Chicago</u>		<u>Miami</u>		<u>San Diego</u>		<u>Overall</u>	
	N	%	N	%	N	%	N	%	N	%
Both Emergency Dept. and Hospitalizations (at once)	145		156		127		40		468	
Myocardial infarction, heart attack	5	3.5	1	0.6	4	3.2	1	2.5	11	2.4
Angina, chest pain	7	4.8	14	9.0	14	11.0	2	5.0	37	7.9
Heart failure	1	0.7	0	0.0	2	1.6	0	0.0	3	0.6
Stroke or TIA	0	0.0	1	0.6	7	5.5	0	0.0	8	1.7
Peripheral vascular disease	0	0.0	1	0.6	2	1.6	1	2.5	4	0.9
Venous thrombosis or pulmonary embolism	1	0.7	0	0.0	1	0.8	0	0.0	2	0.4
Chronic Obstructive Pulmonary Disease, emphysema, or chronic bronchitis	2	1.4	1	0.6	1	0.8	0	0.0	4	0.9
Asthma	10	6.9	1	0.6	2	1.6	0	0.0	13	2.8
Other	118	81.4	137	87.8	94	74.0	34	85.0	383	81.8
Unknown/Missing	0		5		2		1		8	

Based on analysis files created on April 17, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

*Participants may have more than one hospital/Emergency Dept. visit.

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Table 2.19. Out-patient Self-Reported Conditions by Center

Self-reported conditions regardless of whether hospitalization or Emergency Dept. visit reported¹

Preliminary Reports	<u>Bronx</u>		<u>Chicago</u>		<u>Miami</u>		<u>San Diego</u>		<u>Overall</u>	
	N	%	N	%	N	%	N	%	N	%
Number of Participants with AFU Present	5747		6483		6289		6861		25380	
AFU:OPE - Out-patient Conditions Form	5457	95.0	6467	99.8	6246	99.3	6832	99.6	25002	98.5
COPD,etc. - Out-patient self-report	138	2.5	100	1.6	102	1.6	104	1.5	444	1.8
Diagnostic tests for COPD,etc.²	114	82.6	71	71.0	83	81.4	75	72.1	343	77.3
Exacerbation/Worsening of COPD,etc.	38	27.5	28	28.0	30	29.4	16	15.4	112	25.2
Change in Medication	34	89.5	22	78.6	16	53.3	8	50.0	80	71.4
Asthma - Out-patient self-report	453	8.3	180	2.8	69	1.1	230	3.4	932	3.7
Diagnostic tests for Asthma^{2,3}	344	75.9	127	70.6	44	63.8	118	51.3	633	67.9
Exacerbation/Worsening of Asthma	167	36.9	40	22.2	18	26.1	28	12.2	253	27.2
Change in Medication	148	88.6	35	87.5	11	61.1	16	57.1	210	83.0

Based on analysis files created on April 17, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

1. Participants may have more than one hospital/Emergency Dept. visit for COPD or Asthma.

2. Diagnostic Tests: Breathing/pulmonary test, chest x-ray, or chest CT scan.

3. Bronx has missing diagnosis test indicators for two participants.

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Table 2.19a. Outpatient Self-Reported Conditions by Center
Non-Pulmonary or Unreported Hospitalizations/Emergency Dept. Visits Only¹

Preliminary Reports	<u>Bronx</u>		<u>Chicago</u>		<u>Miami</u>		<u>San Diego</u>		<u>Overall</u>	
	N	%	N	%	N	%	N	%	N	%
Number of Participants with AFU Present	5699		6437		6262		6812		25210	
AFU:OPE - Out Patient Conditions Form	4946	99.9	5492	100.0	5693	100.0	5851	99.9	21982	99.9
COPD,etc. - Outpatient self-report	104	2.1	79	1.4	88	1.6	94	1.6	365	1.7
Diagnostic tests for COPD,etc.²	85	81.7	53	67.1	70	79.6	69	73.4	277	75.9
Exacerbation/Worsening of COPD,etc.	27	26.0	19	24.1	26	29.6	14	14.9	86	23.6
Change in Medication	25	92.6	14	73.7	14	53.9	7	50.0	60	69.8
Asthma - Outpatient self-report	381	7.7	160	2.9	60	1.1	211	3.6	812	3.7
Diagnostic tests for Asthma^{2,3}	288	75.6	111	69.4	35	58.3	104	49.3	538	66.3
Exacerbation/Worsening of Asthma	136	35.7	32	20.0	14	23.3	25	11.9	207	25.5
Change in Medication	120	88.2	29	90.6	9	64.3	13	52.0	171	82.6

Based on analysis files created on April 17, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

1. Participants may have no reported hospital/Emergency Dept. visits or more than one hospital/Emergency Dept. visit, limited to only those visits without heart failure, COPD, or asthma (HOEA4a=2,6, or 7).

2. Diagnostic Tests: Breathing/pulmonary test, chest x-ray, or chest CT scan.

3. Bronx has missing diagnosis test indicators for two participants.

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Table 2.20. Out-patient Self-Report of Diabetes During First Year of Annual Follow-up by Center

Outpatient condition	<u>Bronx</u>		<u>Chicago</u>		<u>Miami</u>		<u>San Diego</u>		<u>Overall</u>	
	N	%	N	%	N	%	N	%	N	%
Number of Participants with AFU Present	3366		3574		3727		3717		14384	
AFU:OPE - Out-patient Conditions Form	3083	91.6	3393	94.9	3663	98.3	3689	99.3	13828	96.1
Told by MD had diabetes	340	11.0	284	8.4	72	2.0	512	13.9	1208	8.7
New or different treatments recommended	188	55.3	159	56.0	55	76.4	206	40.2	608	50.3
Diabetes treatment recommended	119	63.3	108	67.9	45	81.8	123	59.7	395	65.0
Pills	37	19.7	14	8.8	1	1.8	13	6.3	65	10.7
Insulin alone	37	19.7	17	10.7	0	0.0	12	5.8	66	10.9
Insulin and pills	49	26.1	41	25.8	8	14.6	15	7.3	113	18.6
Referred for eye exam	102	54.3	105	66.0	36	65.5	113	54.9	356	58.6
Advice to change diet	33	17.6	12	7.6	4	7.3	5	2.4	54	8.9
Advice to stop smoking	94	50.0	87	54.7	19	34.6	87	42.2	287	47.2
Advice to increase exercise	6	3.2	5	3.1	1	1.8	8	3.9	20	3.3

Based on analysis files created on April 17, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

Note: Denominator for recommended treatment is number who had a new or different treatment. More than one new or different treatment may be recommended.

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Table 2.21. Out-patient Self-Report of Hypertension During First Year of Annual Follow-up by Center

Outpatient condition	<u>Bronx</u>		<u>Chicago</u>		<u>Miami</u>		<u>San Diego</u>		<u>Overall</u>	
	N	%	N	%	N	%	N	%	N	%
Number of Participants with AFU Present	3366		3574		3727		3717		14384	
AFU:OPE - Out-patient Conditions Form	3083	91.6	3393	94.9	3663	98.3	3689	99.3	13828	96.1
Told by MD had hypertension	626	20.3	425	12.5	184	5.0	710	19.3	1945	14.1
New or different treatments recommended	354	56.6	249	58.6	149	81.0	270	38.0	1022	52.5
Hypertension treatment recommended	300	84.8	163	65.5	125	83.9	202	74.8	790	77.3
Start new medication	30	8.5	32	12.9	16	10.7	29	10.7	107	10.5
Increase dose same medicine	118	33.3	95	38.2	49	32.9	51	18.9	313	30.6
Advice to lose weight	146	41.2	137	55.0	77	51.7	103	38.2	463	45.3
Advice to change diet	58	16.4	26	10.4	11	7.4	7	2.6	102	10.0
Advice to stop smoking	130	36.7	144	57.8	49	32.9	103	38.2	426	41.7
Advice to increase exercise	10	2.8	6	2.4	3	2.0	10	3.7	29	2.8

Based on analysis files created on April 17, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

Note: Denominator for recommended treatment is number who had a new or different treatment. More than one new or different treatment may be recommended.

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Table 2.22. Out-patient Self-Report of High Cholesterol During First Year of Annual Follow-up by Center

Outpatient condition	<u>Bronx</u>		<u>Chicago</u>		<u>Miami</u>		<u>San Diego</u>		<u>Overall</u>	
	N	%	N	%	N	%	N	%	N	%
Number of Participants with AFU Present	3366		3574		3727		3717		14384	
AFU:OPE - Out-patient Conditions Form	3083	91.6	3393	94.9	3663	98.3	3689	99.3	13828	96.1
Told by MD had high Cholesterol	645	20.9	564	16.6	315	8.6	813	22.0	2337	16.9
New or different treatments recommended	403	62.5	372	66.0	245	77.8	444	54.6	1464	62.6
Cholesterol treatment recommended	292	72.5	187	50.3	173	70.6	237	53.4	889	60.7
Start new medication	17	4.2	26	7.0	3	1.2	14	3.2	60	4.1
Increase dose same medicine	172	42.7	163	43.8	108	44.1	113	25.5	556	38.0
Advice to lose weight	227	56.3	274	73.7	170	69.4	289	65.1	960	65.6
Advice to change diet	58	14.4	31	8.3	9	3.7	15	3.4	113	7.7
Advice to stop smoking	170	42.2	227	61.0	98	40.0	197	44.4	692	47.3
Advice to increase exercise	5	1.2	10	2.7	3	1.2	9	2.0	27	1.8

Based on analysis files created on April 17, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

Note: Denominator for recommended treatment is number who had a new or different treatment. More than one new or different treatment may be recommended.

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Table 2.23. Out-patient Self-Report of Outpatient Cardio-pulmonary Events During Second Year of Annual Follow-up by Center

Outpatient Events - Cardio-pulmonary	<u>Bronx</u>		<u>Chicago</u>		<u>Miami</u>		<u>San Diego</u>		<u>Overall</u>	
	N	%	N	%	N	%	N	%	N	%
Number of Participants with AFU Present	1853		2280		2070		2310		8513	
AFU:EVEA - Out-patient Conditions Form	1661	89.6	2262	99.2	2002	96.7	2306	99.8	8231	96.7
Since the Last HCHS/SOL Visit told by MD										
Atrial fibrillation	16	1.0	31	1.4	5	0.3	12	0.5	64	0.8
Heart failure	33	2.0	31	1.4	16	0.8	27	1.2	107	1.3
Blood clot in leg or lung requiring blood thinner	37	2.2	54	2.4	11	0.6	25	1.1	127	1.5
Since the Last HCHS/SOL Viist										
Swelling in feet or ankles at end of day	387	23.3	399	17.6	328	16.4	296	12.8	1410	17.1
Waking up at night with difficulty breathing	284	17.1	200	8.8	214	10.7	159	6.9	857	10.4
Shortness of breath when hurrying or walking uphill	676	40.7	466	20.6	404	20.2	445	19.3	1991	24.2
Stop for breath walking at own pace on level pavement	349	21.0	172	7.6	160	8.0	187	8.1	868	10.6
Difficulty breathing when not active	165	9.9	89	3.9	97	4.9	123	5.3	474	5.8
Cough on most days or nights of week last 3 mo.	172	10.4	88	3.9	113	5.6	85	3.7	458	5.6
Phlegm from chest most days or night of week last 3 mo.	175	10.5	113	5.0	87	4.4	94	4.1	469	5.7
Wheezing or whistling in chest since last visit	253	15.2	213	9.4	157	7.8	176	7.6	799	9.7

Based on analysis files created on April 17, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

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Table 2.24. Out-patient Self Report of Sleep Related Events During Second Year of Annual Follow-up by Center

	<u>Bronx</u>		<u>Chicago</u>		<u>Miami</u>		<u>San Diego</u>		<u>Overall</u>	
	N	%	N	%	N	%	N	%	N	%
Outpatient Events - Sleep related										
Number of Participants with AFU Present	1853		2280		2070		2310		8513	
AFU:EVEA - Out-patient Conditions Form	1661	89.6	2262	99.2	2002	96.7	2306	99.8	8231	96.7
Frequency of snoring now										
Never	313	18.8	211	9.3	306	15.3	272	11.8	1102	13.4
Rarely	134	8.1	263	11.6	121	6.0	378	16.4	896	10.9
Sometimes	484	29.1	495	21.9	457	22.8	563	24.4	1999	24.3
Always or almost always	349	21.0	489	21.6	455	22.7	455	19.7	1748	21.2
Do not know	312	18.8	586	25.9	479	23.9	341	14.8	1718	20.9
Since last MD told had sleep apnea	86	5.2	64	2.8	26	1.3	85	3.7	261	3.2
Treated for sleep apnea										
Surgery	4	4.7	8	12.5	1	3.9	6	7.1	19	7.3
Use of dental appliance	11	12.8	2	3.1	4	15.4	11	12.9	28	10.7
Use of oxygen during sleep	20	23.3	6	9.4	6	23.1	12	14.1	44	16.9
Use pressure machine	29	33.7	26	40.6	7	26.9	29	34.1	91	34.9

Based on analysis files created on April 17, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

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Table 2.25. Out-patient Self-Report of Hearing Related Events During Second Year of Annual Follow-up by Center

Outpatient Events - Hearing related	<u>Bronx</u>		<u>Chicago</u>		<u>Miami</u>		<u>San Diego</u>		<u>Overall</u>	
	N	%	N	%	N	%	N	%	N	%
Number of Participants with AFU Present	1853		2280		2070		2310		8513	
AFU:EVEA - Out-patient Conditions Form	1661	89.6	2262	99.2	2002	96.7	2306	99.8	8231	96.7
Current hearing (w/o use of an aide)										
Excellent	521	31.4	630	27.9	633	31.6	518	22.5	2302	28.0
Good	731	44.0	1042	46.1	873	43.6	1146	49.7	3792	46.1
A little trouble hearing	230	13.9	220	9.7	225	11.2	239	10.4	914	11.1
Moderate trouble hearing	83	5.0	106	4.7	63	3.2	89	3.9	341	4.1
A lot of hearing trouble	23	1.4	28	1.2	22	1.1	17	0.7	90	1.1
Deaf	2	0.1	10	0.4	2	0.1	0	0.0	14	0.2
Refused, do not know	2	0.1	10	0.4	1	0.1	0	0.0	13	0.2
Sine last visit told needed hearing aid	38	2.3	47	2.1	34	1.7	45	2.0	164	2.0
Acquired hearing aid	18	1.1	9	0.4	11	0.6	16	0.7	54	0.7
Use hearing aid always or sometimes	13	0.8	5	0.2	10	0.5	11	0.5	39	0.5
When exposed to loud noise at work wear ear protection										
None of the time	1523	91.7	1672	73.9	1697	84.8	1757	76.2	6649	80.8
Occasionally	8	0.5	40	1.8	32	1.6	56	2.4	136	1.7
25% of the time	22	1.3	58	2.6	57	2.9	50	2.2	187	2.3
50% of the time	12	0.7	49	2.2	18	0.9	27	1.2	106	1.3
75% of the time	4	0.2	32	1.4	4	0.2	33	1.4	73	0.9
100% of the time	4	0.2	32	1.4	4	0.2	33	1.4	73	0.9

Based on analysis files created on April 17, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

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Table 2.25. Out-patient Self-Report of Hearing Related Events During Second Year of Annual Follow-up by Center

Outpatient Events - Hearing related	<u>Bronx</u>		<u>Chicago</u>		<u>Miami</u>		<u>San Diego</u>		<u>Overall</u>	
	N	%	N	%	N	%	N	%	N	%
When exposed to loud noise outside work wear ear protection										
None of the time	1537	92.5	1953	86.3	1770	88.4	1883	81.7	7143	86.8
Occasionally	9	0.5	21	0.9	2	0.1	43	1.9	75	0.9
25% of the time	20	1.2	30	1.3	16	0.8	33	1.4	99	1.2
50% of the time	7	0.4	11	0.5	7	0.4	8	0.4	33	0.4
75% of the time	3	0.2	11	0.5	2	0.1	12	0.5	28	0.3
100% of the time	3	0.2	11	0.5	2	0.1	12	0.5	28	0.3
Buzzing or ringing in ears	328	19.8	441	19.5	319	15.9	510	22.1	1598	19.4
 Ringing noise usually lasts over 5 minutes}	142	8.6	170	7.5	107	5.3	185	8.0	604	7.3
 Ringing noise only follows loud sounds}	80	4.8	73	3.2	35	1.8	67	2.9	255	3.1

Based on analysis files created on April 17, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

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Table 2.26 Last Reported Stage for Endpoints Investigation by Center

Event Materials Status	<u>Bronx</u>		<u>Chicago</u>		<u>Miami</u>		<u>San Diego</u>		<u>Overall</u>	
	N	%	N	%	N	%	N	%	N	%
Number of participants with AFU present	3371		3576		3736		3722		14405	
Total events reported to AFU	1080		738		648		635		3101	
Total eligible events for investigation	841		788		613		511		2753	
Total reported events w/tracking information	846	100.6	771	97.8	508	82.9	484	94.7	2609	94.8
Pending records request	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Release of Information requested	4	0.5	0	0.0	5	0.8	3	0.6	12	0.4
Release of Information obtained	2	0.2	1	0.1	1	0.2	0	0.0	4	0.1
Event Record requested	91	10.8	109	13.8	8	1.3	6	1.2	214	7.8
Ineligible	213	25.3	147	18.7	103	16.8	152	29.7	615	22.3
Records Not Available	24	2.9	86	10.9	11	1.8	50	9.8	171	6.2
Medical records received for event	0	0.0	2	0.3	0	0.0	0	0.0	2	0.1
Supplemental records requested	3	0.4	1	0.1	3	0.5	0	0.0	7	0.3
Verification of medical records	1	0.1	0	0.0	0	0.0	0	0.0	1	0.0
Shipping Medical records to CC	507	60.3	423	53.7	377	61.5	273	53.4	1580	57.4
Closed Event	744	88.5	656	83.2	491	80.1	475	93.0	2366	85.9
Pending Event	101	12.0	113	14.3	17	2.8	9	1.8	240	8.7

Based on analysis files created on April 17, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

Note: Source files: ETRA forms and AFU interview.

Denominator is the number of eligible events from DMS.

Note: Closed events have either had medical records shipped to the CC, or have been confirmed locally as having no existing records and/or not being an event for investigation. Pending events are at an intermediate stage of investigation.

Ineligible cases include, AFU reported hospitalizations of ED visits which after investigation are determined to be ineligible for endpoint classification (e.g. outpatient visits, ED visit for non-qualifying condition).

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Table 2.26a(revised) Last Reported Stage for Endpoints Investigation by Event Year

Event Materials Status	<u>2008</u>		<u>2009</u>		<u>2010</u>		<u>2011</u>		<u>2012</u>		<u>Overall</u>	
	N	%	N	%	N	%	N	%	N	%	N	%
Number of participants with reported Hosp/ED visits¹	68		567		868		856		73		2432	
Total events reported to AFU¹	94		713		1080		1050		91		3028	
Total eligible events for investigation	48		434		965		1158		96		2701	
Total reported events w/tracking information	49	102.1	429	98.8	961	99.6	1032	89.1	76	79.2	2609	96.6
Pending records request	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Release of Information requested	0	0.0	1	0.2	1	0.1	9	0.8	1	1.0	12	0.4
Release of Information obtained	1	2.1	0	0.0	1	0.1	2	0.2	0	0.0	4	0.1
Event Record requested	1	2.1	6	1.4	51	5.3	113	9.8	34	35.4	214	7.9
Ineligible	4	8.3	102	23.5	228	23.6	239	20.6	15	15.6	615	22.8
Records Not Available	7	14.6	41	9.4	71	7.4	38	3.3	2	2.1	171	6.3
Medical records received for event	0	0.0	1	0.2	0	0.0	1	0.1	0	0.0	2	0.1
Supplemental records requested	0	0.0	0	0.0	4	0.4	3	0.3	0	0.0	7	0.3
Verification of medical records	0	0.0	0	0.0	0	0.0	1	0.1	0	0.0	1	0.0
Verification forms with ICD-9 Codes¹	34	94.4	253	91.3	569	94.4	566	90.4	16	66.7	1452	91.9
Verification forms Missing ICD-9 Codes¹	2	5.6	24	8.7	34	5.6	60	9.6	8	33.3	128	8.1
Shipping Medical records to CC	36	75.0	277	63.8	603	62.5	626	54.1	24	25.0	1580	58.5
Closed Event	47	97.9	420	96.8	902	93.5	903	78.0	41	42.7	2366	87.6
Pending Event	2	4.2	8	1.8	57	5.9	129	11.1	35	36.5	240	8.9

Based on analysis files created on April 17, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

Note: Source files: ETRA forms and AFU interview.

Denominator is the number of eligible events from DMS. ¹Denominator for presence of ICD-9 codes is number of eligible events shipped to CC.

1. For reported Hosp/ED visits with a non-missing event date.

Note: Closed events have either had medical records shipped to the CC, or have been confirmed locally as having no existing records and/or not being an event for investigation. Pending events are at an intermediate stage of investigation.

Ineligible cases include, AFU reported hospitalizations of ED visits which after investigation are determined to be ineligible for endpoint classification (e.g. outpatient visits, ED visit for non-qualifying condition).

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Table 2.26(revised) Last Reported Stage for Endpoints Investigation by Center

Event Materials Status	<u>Bronx</u>		<u>Chicago</u>		<u>Miami</u>		<u>San Diego</u>		<u>Overall</u>	
	N	%	N	%	N	%	N	%	N	%
Number of participants with AFU present	3371		3576		3736		3722		14405	
Total events reported to AFU	1080		738		648		635		3101	
Total eligible events for investigation	841		788		613		511		2753	
Total reported events w/tracking information	846	100.6	771	97.8	508	82.9	484	94.7	2609	94.8
Pending records request	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Release of Information requested	4	0.5	0	0.0	5	0.8	3	0.6	12	0.4
Release of Information obtained	2	0.2	1	0.1	1	0.2	0	0.0	4	0.1
Event Record requested	91	10.8	109	13.8	8	1.3	6	1.2	214	7.8
Event ineligible	213	25.3	147	18.7	103	16.8	152	29.7	615	22.3
Confirmed, Records Not Available	24	2.9	86	10.9	11	1.8	50	9.8	171	6.2
Medical records received for event	0	0.0	2	0.3	0	0.0	0	0.0	2	0.1
Supplemental records requested	3	0.4	1	0.1	3	0.5	0	0.0	7	0.3
Verification of medical records	1	0.1	0	0.0	0	0.0	0	0.0	1	0.0
Verification forms with ICD-9 Codes¹	499	98.4	334	79.0	361	95.8	258	94.5	1452	91.9
Verification forms Missing ICD-9 Codes¹	8	1.6	89	21.0	16	4.2	15	5.5	128	8.1
Shipping Medical records to CC	507	60.3	423	53.7	377	61.5	273	53.4	1580	57.4
Closed Event	744	88.5	656	83.2	491	80.1	475	93.0	2366	85.9
Pending Event	101	12.0	113	14.3	17	2.8	9	1.8	240	8.7

Based on analysis files created on April 17, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

Note: Source files: ETRA forms and AFU interview.

Denominator is the number of eligible events from DMS.¹ Denominator for presence of ICD-9 codes is number of eligible events shipped to CC.

Note: Closed events have either had medical records shipped to the CC, or have been confirmed locally as having no existing.

records and/or not being an event for investigation. Pending events are at an intermediate stage of investigation.

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Table 2.27 Overview of All Processing Stages for Endpoints Event Tracking Records by Center

Event Materials Status	<u>Bronx</u>		<u>Chicago</u>		<u>Miami</u>		<u>San Diego</u>		<u>Overall</u>	
	N	%	N	%	N	%	N	%	N	%
Number of participants with AFU present	3371		3576		3736		3722		14405	
Total events reported to AFU	1080		738		648		635		3101	
Total eligible events	841		788		613		511		2753	
Pending records request	6	0.7	3	0.4	135	22.0	5	1.0	149	5.4
Release of Information requested	597	71.0	9	1.1	69	11.3	220	43.1	895	32.5
Release of information obtained	587	69.8	3	0.4	205	33.4	58	11.4	853	31.0
Total event Record requested	729	86.7	661	83.9	289	47.1	304	59.5	1983	72.0
Confirmed, NO event/Records not available	356	42.3	257	32.6	126	20.6	228	44.6	967	35.1
Total medical records received for event	528	62.8	285	36.2	7	1.1	146	28.6	966	35.1
Total verification of medical records	509	60.5	3	0.4	19	3.1	0	0.0	531	19.3
Total medical records shipped to CC	508	60.4	428	54.3	383	62.5	274	53.6	1593	57.9

Based on analysis files created on April 17, 2012.

Note: Source files: ETRA forms and AFU interview.

Denominator is the number of eligible events from DMS.