



TO: HCHS/SOL Steering Committee and Project Managers

CC: Subcommittee Chairs, Reading Center Investigators

FROM: Marston Youngblood, HCHS Coordinating Center

DATE: February 13, 2012

RE: HCHS/SOL Management Report for January 2012

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Attached is the HCHS/SOL Management Report produced during January 2012. We cut-off the inclusion of data used in this report on January 20th when the SAS analysis files were created. The content of the report has been reduced now that screening and recruitment and the baseline examinations are past. The content of the report will continue to focus on Annual Follow-up and Endpoints in the HCHS/SOL cohort. (See either the last OSMB report or earlier versions of the monthly management report post on the study web site for descriptive statistics or recruitment related tables.)

Note, many tables have been removed to reflect the closure of the baseline examination database. The number of participants with either a full protocol or core baseline examination as of this report was 16,161 (see table 2.1) while approximately 264 participants had a minimal subset of information available to be eligible for full follow-up interviews (N=16,425). Table 2.9 on ancillary study screening and recruitment reveals that nearly 51% of our study participants have been screened by at least one ancillary study. Based on the screening information keyed through January 20th, 63% of the participants screened for an ancillary study also agree to participate. Around 6% of the cohort (n=464) have agreed to participating in two studies, while only six people have agreed to participate in three studies.

The results for the first year of annual follow-up for the 10,350 cohort members now outside the contact window (see table 2.15a) shows that the rate of contact was (96%), percent interviewed (87%), and eligibility for the next year AFU interview (98.5%). The comparable response rate for AFU year 2 interviews (table 2.15b) are 4,664 participants past the contact window for AFU 2 which is two years and six months post baseline exam. The rate of contact for the 2nd year was (95.3%), percent interviewed (84.4%), and eligibility for the next year AFU interview (99.5%). Table 2.15c for AFU year 3 closed interviews has 630 expected ones that are past the the six-month long contact window. The rate of contact for the 3rd year was (84%), percent interviewed (75.9%), and eligibility for the next year AFU interview (92.9%). Note that this report is only the second look at the early results from AFU year 3 on the small part of the cohort (4%) outside of the contact window.

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Table 2.1 Completion of Baseline Exams by Center

	<u>Bronx</u> <u>(N=4156)</u>		<u>Chicago</u> <u>(N=4138)</u>		<u>Miami</u> <u>(N=4087)</u>		<u>San Diego</u> <u>(N=4099)</u>		<u>Overall</u> <u>(N=16480)</u>	
	N	%	N	%	N	%	N	%	N	%
Questionnaire battery										
a. Complete	3557	85.6	4039	97.6	3952	96.7	4039	98.5	15587	94.6
b. Partial	590	14.2	98	2.4	135	3.3	58	1.4	881	5.4
Exam Procedure										
a. Complete	3574	86.0	4071	98.4	3912	95.7	4021	98.1	15578	94.5
b. Partial	559	13.5	66	1.6	175	4.3	74	1.8	874	5.3
Full Protocol Visit										
a. Complete	3480	83.7	4016	97.1	3859	94.4	4010	97.8	15365	93.2
b. Partial	667	16.1	121	2.9	228	5.6	87	2.1	1103	6.7
Full Core Visit for Subgroup with a Partial Visit										
a. Core Questionnaires	543	81.4	90	74.4	178	78.1	48	55.2	859	77.9
b. Core Procedures	551	82.6	108	89.3	199	87.3	52	59.8	910	82.5
c. Complete Core Qx and Procedures	502	75.3	81	66.9	170	74.6	43	49.4	796	72.2
Full Protocol or Full Core Visits	3982	95.8	4097	99.0	4029	98.6	4053	98.9	16161	98.1
Full Annual Follow-Up Eligible Visits	4124	100.0	4137	100.0	4078	100.0	4086	100.0	16425	100.0

Definitions: Complete = all forms (or procedures) are present per data collection schedule
Partial = at least one form (or procedure) is missing
Full protocol visit = Complete questionnaire battery + Complete exam procedures
Core visit = Anthro, ABP, SBP, ECG, Blood draw, Diet recall, Alcohol use, Econ, Medical Hx, Meds, Neurocognitive, Physical Act, SF-12, Sociocultural, Tobacco use, Identifiers and personal information
Full AFU Eligible - Anthro,SBP,BIOA and Blood draw,Medical Hx,Identifiers and personal information

Based on analysis files created on January 20, 2012.

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Table 2.9 Ancillary Study Screening and Recruitment by Center

Ancillary Study Screening and Enrollment	Bronx (N=4156)		Chicago (N=4138)		Miami (N=4087)		San Diego (N=4099)		Overall (N=16480)	
	N	%	N	%	N	%	N	%	N	%
Participants screened for ancillary studies¹	1999	48.1	2317	56.0	2265	55.4	1812	44.2	8393	50.9
Sociocultural screened for participation	1784		2118		2193		1736		7831	
Refuses to participate²	256	14.3	118	5.6	267	12.2	230	13.2	871	11.1
Agrees to participate (goal=5,280)	1357	76.1	1330	62.8	1315	60.0	1334	76.8	5336	68.1
SUENO screened for participation	181		457		66		21		725	
Refuses to participate	17	9.4	42	9.2	0	--	0	--	59	8.1
Agrees to participate (goal=2,000)	138	76.2	210	46.0	66	100.0	21	100.0	435	60.0
SOLNAS screened for participation	239		316		181		91		827	
Refuses to participate	66	27.6	81	25.6	5	2.8	22	24.2	174	21.0
Agrees to participate (goal=476)	160	66.9	137	43.4	155	85.6	38	41.8	490	59.3
Overall Ancillary Study Enrollment										
Participant in one ancillary study	1394	69.7	1272	54.9	1302	57.5	1347	74.3	5315	63.3
Participant in two ancillary studies	123	6.2	201	8.7	117	5.2	23	1.3	464	5.5
Participant in three studies	5	0.3	1	0.0	0	--	0	--	6	0.1

Based on ancillary study analysis files ANE created on September 20, 2011 (Sociocultural) and January 5, 2012 (SUENO) and file TSE created on January 18, 2012 (SOLNAS) and the main Participant Derived Variable file.

Note: 1) Denominator for overall participants screened is number seen for baseline examination.

2) Denominator for refusal agreement is number screened for an ancillary study.

3) Counts report only those who explicitly refuse or agree for all screening forms submitted to coordinating center and do not adjust for ineligibles or missing data.

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Table 2.9a Ancillary Study Estimates of Participant Burden by Center

Ancillary Study Estimated Burden in Hours	<u>Bronx</u> (N=4156)			<u>Chicago</u> (N=4138)			<u>Miami</u> (N=4087)			<u>San Diego</u> (N=4099)			<u>Overall</u> (N=16480)		
	N	Mean	s.d.	N	Mean	s.d.	N	Mean	s.d.	N	Mean	s.d.	N	Mean	s.d.
Participants in one ancillary study	1394	3.3	1.90	1272	3.3	1.87	1302	3.5	1.92	1347	3.1	1.02	5315	3.3	1.72
Participants in two ancillary studies	123	9.9	4.74	201	7.2	4.51	117	10.0	4.71	23	10.4	4.70	464	8.8	4.83
Participants in three studies	5	14.9	0.00	1	14.9		0	--	--	0	--	--	6	14.9	0.00

Based on ancillary study analysis files ANE created on September 20, 2011 (Sociocultural) and January 5, 2012 (SUENO) and file TSE created on January 18, 2012 (SOLNAS) and the main Participant Derived Variable file.

Note: 1) Denominator for overall participants screened is number seen for baseline examination.

2) Denominator for refusal agreement is number screened for an ancillary study.

3) Estimated average hours of burden per person is based upon the cumulative reported burden for individuals screened and agreeing to participate in those studies.

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**Table 2.13 Presence of a Phone Number for the Participant and their Various Contacts by Center
From Baseline Examination Contact Information Form**

Phone Numbers	Bronx (N=4003)		Miami (N=4057)		San Diego (N=4064)		Overall (N=12124)	
	N	%	N	%	N	%	N	%
Participant primary	3960	98.9	3922	96.7	4019	98.9	11901	98.2
Participant secondary	2063	51.5	1408	34.7	2128	52.4	5599	46.2
Participant with any phone number	3979	99.4	4042	99.6	4059	99.9	12080	99.6
First contact	3606	90.1	3589	88.5	3846	94.6	11041	91.1
Second contact	1474	36.8	1987	49.0	3658	90.0	7119	58.7
Third contact	169	4.2	343	8.5	1620	39.9	2132	17.6
At least one alternate contact phone number	3638	90.9	3609	89.0	3911	96.2	11158	92.0
Participants with no phone number for Follow-up	2	0.1	7	0.2	0	0.0	9	0.1

Based on analysis files created on January 20, 2012.

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**Table 2.13a Presence of a Phone Number for the Participant and their Various Contacts by Center
From Year 1 Annual Follow-up Contact Information Form**

Phone Numbers	Bronx (N=3000)		Miami (N=3222)		San Diego (N=3365)		Overall (N=9587)	
	N	%	N	%	N	%	N	%
Participant primary	2734	91.1	3128	97.1	3311	98.4	9173	95.7
Participant secondary	1557	51.9	1167	36.2	1804	53.6	4528	47.2
Participant with any phone number	2755	91.8	3184	98.8	3354	99.7	9293	96.9
First contact	2523	84.1	3099	96.2	3182	94.6	8804	91.8
Second contact	1025	34.2	2249	69.8	2997	89.1	6271	65.4
Third contact	155	5.2	732	22.7	1138	33.8	2025	21.1
At least one alternate contact phone number	2556	85.2	3118	96.8	3276	97.4	8950	93.4
Participants with no phone number for Follow-up	237	7.9	32	1.0	3	0.1	272	2.8

Based on analysis files created on January 20, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

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**Table 2.13b Presence of a Phone Number for the Participant and their Various Contacts by Center
From Year 2 Annual Follow-up Contact Information Form**

Phone Numbers	Bronx (N=1734)		Miami (N=1744)		San Diego (N=2143)		Overall (N=5621)	
	N	%	N	%	N	%	N	%
Participant primary	1312	75.7	1498	85.9	1889	88.2	4699	83.6
Participant secondary	762	43.9	495	28.4	996	46.5	2253	40.1
Participant with any phone number	1330	76.7	1500	86.0	1925	89.8	4755	84.6
First contact	1218	70.2	1471	84.4	1801	84.0	4490	79.9
Second contact	487	28.1	1153	66.1	1661	77.5	3301	58.7
Third contact	63	3.6	454	26.0	345	16.1	862	15.3
At least one alternate contact phone number	1246	71.9	1478	84.8	1872	87.4	4596	81.8
Participants with no phone number for Follow-up	403	23.2	242	13.9	212	9.9	857	15.3

Based on analysis files created on January 20, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

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**Table 2.13c Presence of a Phone Number for the Participant and their Various Contacts by Center
From Year 3 Annual Follow-up Contact Information Form**

Phone Numbers	Bronx (N=385)		Miami (N=317)		San Diego (N=494)		Overall (N=1196)	
	N	%	N	%	N	%	N	%
Participant primary	347	90.1	313	98.7	483	97.8	1143	95.6
Participant secondary	200	52.0	115	36.3	251	50.8	566	47.3
Participant with any phone number	351	91.2	314	99.1	491	99.4	1156	96.7
Local Contact 1	326	84.7	305	96.2	445	90.1	1076	90.0
Local Contact 2	164	42.6	247	77.9	401	81.2	812	67.9
Local Contact 3	22	5.7	127	40.1	103	20.9	252	21.1
At least one alternate contact phone number	333	86.5	310	97.8	470	95.1	1113	93.1
Participants with no phone number for Follow-up	33	8.6	1	0.3	3	0.6	37	3.1

Based on analysis files created on January 20, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

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Table 2.14 Reported Vital Status for Participants after First Annual Follow-up Interview by Field Center
All study participants who are eligible for Annual Follow-up Contact Year 1

Reported Vital Status of Follow-up	Bronx (N=4003)		Chicago (N=4118)		Miami (N=4057)		San Diego (N=4064)		Overall (N=16242)	
	N	%	N	%	N	%	N	%	N	%
Expected Number of Annual Interviews	3388	84.6	3408	82.8	3433	84.6	3548	87.3	13777	84.8
Participants with AFU Information Present¹	3016	89.0	2999	88.0	3241	94.4	3374	95.1	12630	91.7
Contacted and Alive	2746	91.1	2642	88.1	3008	92.8	2957	87.6	11353	89.9
Contacted and Refused Interview	22	0.7	49	1.6	32	1.0	24	0.7	127	1.0
Not Contacted, Reported Alive	148	4.9	219	7.3	80	2.5	319	9.5	766	6.1
Not Contacted, Reported Deceased	11	0.4	5	0.2	12	0.4	8	0.2	36	0.3
Unknown Vital Status	89	3.0	84	2.8	109	3.4	66	2.0	348	2.8

Based on analysis files created on January 20, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

(1) AFU Present = If General Health section of AFU present with a valid value for question GHEA1 (does not depend on AFT submission).

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**Table 2.14a Reported Vital Status for Participants after First Annual Follow-up Interview by Field Center
Only study participants who are past the Annual Follow-up Contact Year 1 window (baseline visit + 1.5 years)**

Reported Vital Status of Follow-up	Bronx (N=4003)		Chicago (N=4118)		Miami (N=4057)		San Diego (N=4064)		Overall (N=16242)	
	N	%	N	%	N	%	N	%	N	%
Expected Number of Annual Interviews	2580	64.5	2579	62.6	2461	60.7	2730	67.2	10350	63.7
Participants with AFU Information Present¹	2527	98.0	2471	95.8	2457	99.8	2714	99.4	10169	98.3
Contacted and Alive	2282	90.3	2117	85.7	2228	90.7	2300	84.8	8927	87.8
Contacted and Refused Interview	22	0.9	47	1.9	30	1.2	24	0.9	123	1.2
Not Contacted, Reported Alive	140	5.5	219	8.9	80	3.3	318	11.7	757	7.4
Not Contacted, Reported Deceased	10	0.4	4	0.2	11	0.5	6	0.2	31	0.3
Unknown Vital Status	73	2.9	84	3.4	108	4.4	66	2.4	331	3.3

Based on analysis files created on January 20, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

(1) AFU Present = If General Health section of AFU present with a valid value for question GHEA1 (does not depend on AFT submission).

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Table 2.14b Reported Vital Status for Participants after Second Annual Follow-up Interview by Field Center
All study participants who are eligible for Annual Follow-up Contact Year 2

Reported Vital Status of Follow-up	Bronx (N=3976)		Chicago (N=4099)		Miami (N=4024)		San Diego (N=4041)		Overall (N=16140)	
	N	%	N	%	N	%	N	%	N	%
Expected Number of Annual Interviews	1745	43.9	2117	51.7	1720	42.7	2136	52.9	7718	47.8
Participants with AFU Information Present¹	1453	83.3	1851	87.4	1554	90.4	1925	90.1	6783	87.9
Contacted and Alive	1331	91.6	1727	93.3	1461	94.0	1706	88.6	6225	91.8
Contacted and Refused Interview	1	0.1	4	0.2	6	0.4	1	0.1	12	0.2
Not Contacted, Reported Alive	68	4.7	68	3.7	27	1.7	161	8.4	324	4.8
Not Contacted, Reported Deceased	0	0.0	1	0.1	0	0.0	0	0.0	1	0.0
Unknown Vital Status	53	3.7	51	2.8	60	3.9	57	3.0	221	3.3

Based on analysis files created on January 20, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

(1) AFU Present = If General Health section of AFU present with a valid value for question GHEA1 (does not depend on AFT submission).

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Table 2.14c Reported Vital Status for Participants after Third Annual Follow-up Interview by Field Center
All study participants who are eligible for Annual Follow-up Contact Year 3

Reported Vital Status of Follow-up	Bronx (N=3997)		Chicago (N=4117)		Miami (N=4051)		San Diego (N=4056)		Overall (N=16221)	
	N	%	N	%	N	%	N	%	N	%
Expected Number of Annual Interviews	619	15.5	593	14.4	447	11.0	733	18.1	2392	14.8
Participants with AFU Information Present¹	389	62.8	429	72.3	348	77.9	497	67.8	1663	69.5
Contacted and Alive	369	94.9	414	96.5	282	81.0	483	97.2	1548	93.1
Contacted and Refused Interview	0	0.0	0	0.0	1	0.3	0	0.0	1	0.1
Not Contacted, Reported Alive	6	1.5	1	0.2	1	0.3	1	0.2	9	0.5
Not Contacted, Reported Deceased	7	1.8	3	0.7	31	8.9	8	1.6	49	3.0
Unknown Vital Status	7	1.8	11	2.6	33	9.5	5	1.0	56	3.4

Based on analysis files created on January 20, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

(1) AFU Present = If General Health section of AFU present with a valid value for question GHEB1 (does not depend on AFT submission).

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Table 2.15a First Annual Follow-up Response for Participants past the Year 1 Contact Window (Baseline visit + 1.5 years)

Reported Follow-up Status at End of Contact Year 1	Bronx (N=4003)		Chicago (N=4118)		Miami (N=4057)		San Diego (N=4064)		Overall (N=16242)	
	N	%	N	%	N	%	N	%	N	%
Expected Number of Annual Interviews	2580		2579		2461		2730		10350	
Missing AFU forms¹	29	1.1	47	1.8	4	0.2	7	0.3	87	0.8
Unknown Vital Status	79	3.1	85	3.3	108	4.4	67	2.5	339	3.3
Deceased	10	0.4	4	0.2	11	0.5	6	0.2	31	0.3
Contacted and Refused Interview	23	0.9	47	1.8	30	1.2	24	0.9	124	1.2
Contacted by Proxy, Reported Alive	147	5.7	222	8.6	80	3.3	319	11.7	768	7.4
Contacted and Interviewed	2292	88.8	2174	84.3	2228	90.5	2307	84.5	9001	87.0
Partial AFU Interview²	94	3.6	99	3.8	3	0.1	26	1.0	222	2.1
Core AFU Interview³	1	0.0	1	0.0	2	0.1	2	0.1	6	0.1
Complete AFU Interview⁴	2197	85.2	2074	80.4	2223	90.3	2279	83.5	8773	84.8
Contact rate for follow-up⁵	2472	95.8	2447	94.9	2349	95.5	2656	97.3	9924	95.9
Response rate for follow-up⁶	2292	89.2	2174	84.4	2228	90.9	2307	84.7	9001	87.2
Eligible for next year follow-up⁷	2547	98.7	2528	98.0	2420	98.3	2700	98.9	10195	98.5

Based on analysis files created on January 20, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

(1) AFU Missing: no forms present in database with data reported.

(2) Respondents with vital status but missing general health or hospitalization questions.

(3) Respondents with vital status and hospitalization information (GHEA1,GHEA2,HOEA3).

(4) AFU form content completed (GHEA,HOEA,OPEA,MEEA).

(5) Numerator: Number of expected AFU interviews minus Missing or Unknown status, Denominator: expected number of AFU Interviews.

(6) Numerator: Number contacted and interviewed directly, Denominator: expected number of Interviews from Cohort not Deceased.

(7) Numerator: Number not deceased or refused, Denominator: expected number of AFU interviews for year 1.

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Table 2.15a1 First Annual Follow-up Response for Participants past the Year 1 Contact Window (Baseline visit + 1.5 years), by Gender

Reported Follow-up Status at End of Contact Year 1	Female (N=9706)		Male (N=6533)		Missing (N=3)		Overall (N=16242)	
	N	%	N	%	N	%	N	%
Expected Number of Annual Interviews	6159		4190		1		10350	
Missing AFU forms¹	42	0.7	45	1.1	0	0.0	87	0.8
Unknown Vital Status	168	2.7	171	4.1	0	0.0	339	3.3
Deceased	14	0.2	17	0.4	0	0.0	31	0.3
Contacted and Refused Interview	82	1.3	42	1.0	0	0.0	124	1.2
Contacted by Proxy, Reported Alive	413	6.7	355	8.5	0	0.0	768	7.4
Contacted and Interviewed	5440	88.3	3560	85.0	1	100.0	9001	87.0
Partial AFU Interview²	116	1.9	106	2.5	0	0.0	222	2.1
Core AFU Interview³	5	0.1	1	0.0	0	0.0	6	0.1
Complete AFU Interview⁴	5319	86.4	3453	82.4	1	100.0	8773	84.8
Contact rate for follow-up⁵	5949	96.6	3974	94.8	1	100.0	9924	95.9
Response rate for follow-up⁶	5440	88.5	3560	85.3	1	100.0	9001	87.2
Eligible for next year follow-up⁷	6063	98.4	4131	98.6	1	100.0	10195	98.5

Based on analysis files created on January 20, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

(1) AFU Missing: no forms present in database with data reported.

(2) Respondents with vital status but missing general health or hospitalization questions.

(3) Respondents with vital status and hospitalization information (GHEA1,GHEA2,HOEA3).

(4) AFU form content completed (GHEA,HOEA,OPEA,MEEA).

(5) Numerator: Number of expected AFU interviews minus Missing or Unknown status, Denominator: expected number of AFU Interviews.

(6) Numerator: Number contacted and interviewed directly, Denominator: expected number of Interviews from Cohort not Deceased.

(7) Numerator: Number not deceased or refused, Denominator: expected number of AFU interviews for year 1.

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Table 2.15a2 First Annual Follow-up Response for Participants past the Year 1 Contact Window (Baseline visit + 1.5 years), by Place of Birth

Reported Follow-up Status at End of Contact Year 1	Foreign Born (N=13389)		US Born (N=2828)		Missing (N=25)		Overall (N=16242)	
	N	%	N	%	N	%	N	%
Expected Number of Annual Interviews	8514		1816		20		10350	
Missing AFU forms ¹	74	0.9	12	0.7	1	5.0	87	0.8
Unknown Vital Status	271	3.2	66	3.6	2	10.0	339	3.3
Deceased	23	0.3	8	0.4	0	0.0	31	0.3
Contacted and Refused Interview	100	1.2	22	1.2	2	10.0	124	1.2
Contacted by Proxy, Reported Alive	557	6.5	207	11.4	4	20.0	768	7.4
Contacted and Interviewed	7489	88.0	1501	82.7	11	55.0	9001	87.0
Partial AFU Interview ²	165	1.9	56	3.1	1	5.0	222	2.1
Core AFU Interview ³	5	0.1	1	0.1	0	0.0	6	0.1
Complete AFU Interview ⁴	7319	86.0	1444	79.5	10	50.0	8773	84.8
Contact rate for follow-up⁵	8169	96.0	1738	95.7	17	85.0	9924	95.9
Response rate for follow-up⁶	7489	88.2	1501	83.0	11	55.0	9001	87.2
Eligible for next year follow-up⁷	8391	98.6	1786	98.4	18	90.0	10195	98.5

Based on analysis files created on January 20, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

(1) AFU Missing: no forms present in database with data reported.

(2) Respondents with vital status but missing general health or hospitalization questions.

(3) Respondents with vital status and hospitalization information (GHEA1,GHEA2,HOEA3).

(4) AFU form content completed (GHEA,HOEA,OPEA,MEEA).

(5) Numerator: Number of expected AFU interviews minus Missing or Unknown status, Denominator: expected number of AFU Interviews.

(6) Numerator: Number contacted and interviewed directly, Denominator: expected number of Interviews from Cohort not Deceased.

(7) Numerator: Number not deceased or refused, Denominator: expected number of AFU interviews for year 1.

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Table 2.15a3 First Annual Follow-up Response for Participants past the Year 1 Contact Window (Baseline visit + 1.5 years), by Employment Status

Reported Follow-up Status at End of Contact Year 1	Not Employed (N=7911)		Employed (N=8113)		Missing (N=218)		Overall (N=16242)	
	N	%	N	%	N	%	N	%
Expected Number of Annual Interviews	4947		5211		192		10350	
Missing AFU forms ¹	36	0.7	44	0.8	7	3.7	87	0.8
Unknown Vital Status	160	3.2	168	3.2	11	5.7	339	3.3
Deceased	22	0.4	8	0.2	1	0.5	31	0.3
Contacted and Refused Interview	48	1.0	71	1.4	5	2.6	124	1.2
Contacted by Proxy, Reported Alive	345	7.0	404	7.8	19	9.9	768	7.4
Contacted and Interviewed	4336	87.7	4516	86.7	149	77.6	9001	87.0
Partial AFU Interview ²	89	1.8	123	2.4	10	5.2	222	2.1
Core AFU Interview ³	3	0.1	3	0.1	0	0.0	6	0.1
Complete AFU Interview ⁴	4244	85.8	4390	84.2	139	72.4	8773	84.8
Contact rate for follow-up⁵	4751	96.0	4999	95.9	174	90.6	9924	95.9
Response rate for follow-up⁶	4336	88.0	4516	86.8	149	78.0	9001	87.2
Eligible for next year follow-up⁷	4877	98.6	5132	98.5	186	96.9	10195	98.5

Based on analysis files created on January 20, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

(1) AFU Missing: no forms present in database with data reported.

(2) Respondents with vital status but missing general health or hospitalization questions.

(3) Respondents with vital status and hospitalization information (GHEA1,GHEA2,HOEA3).

(4) AFU form content completed (GHEA,HOEA,OPEA,MEEA).

(5) Numerator: Number of expected AFU interviews minus Missing or Unknown status, Denominator: expected number of AFU Interviews.

(6) Numerator: Number contacted and interviewed directly, Denominator: expected number of Interviews from Cohort not Deceased.

(7) Numerator: Number not deceased or refused, Denominator: expected number of AFU interviews for year 1.

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Table 2.15b Second Annual Follow-up Response for Participants past the Year 2 Contact Window (Baseline visit + 2.5 years)

Reported Follow-up Status at End of Contact Year 2	Bronx (N=3954)		Chicago (N=4068)		Miami (N=4009)		San Diego (N=4029)		Overall (N=16060)	
	N	%	N	%	N	%	N	%	N	%
Expected Number of Annual Interviews	1091		1331		825		1417		4664	
Missing AFU forms¹	0	0.0	7	0.5	0	0.0	0	0.0	7	0.2
Unknown Vital Status	28	2.6	67	5.0	64	7.8	53	3.7	212	4.6
Deceased	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Contacted and Refused Interview	2	0.2	20	1.5	0	0.0	2	0.1	24	0.5
Contacted by Proxy, Reported Alive	51	4.7	163	12.3	37	4.5	233	16.4	484	10.4
Contacted and Interviewed	1010	92.6	1074	80.7	724	87.8	1129	79.7	3937	84.4
Partial AFU Interview²	153	14.0	101	7.6	52	6.3	101	7.1	407	8.7
Core AFU Interview³	2	0.2	0	0.0	0	0.0	0	0.0	2	0.0
Complete AFU Interview⁴	855	78.4	973	73.1	672	81.5	1028	72.6	3528	75.6
Contact rate for follow-up⁵	1063	97.4	1257	94.4	761	92.2	1364	96.3	4445	95.3
Response rate for follow-up⁶	1010	92.6	1074	80.7	724	87.8	1129	79.7	3937	84.4
Eligible for next year follow-up⁷	1089	99.8	1311	98.5	825	100.0	1415	99.9	4640	99.5

Based on analysis files created on January 20, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

(1) AFU Missing: no forms present in database with data reported.

(2) Respondents with vital status but missing general health or hospitalization questions.

(3) Respondents with vital status and hospitalization information (GHEA1,GHEA2,HOEA3).

(4) AFU form content completed (GHEA,HOEA,OPEA,MEEB,EVEA).

(5) Numerator: Number of expected AFU interviews minus Missing or Unknown status, Denominator: expected number of AFU Interviews.

(6) Numerator: Number contacted and interviewed directly, Denominator: expected number of Interviews from Cohort not Deceased.

(7) Numerator: Number not deceased or refused, Denominator: expected number of AFU interviews for year 1.

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Table 2.15b1 Second Annual Follow-up Response for Participants past the Year 2 Contact Window (Baseline visit + 2.5 years) by gender

Reported Follow-up Status at End of Contact Year 2	Female (N=9596)		Male (N=6461)		Missing (N=3)		Overall (N=16060)	
	N	%	N	%	N	%	N	%
Expected Number of Annual Interviews	2814		1849		1		4664	
Missing AFU forms¹	4	0.1	3	0.2	0	0.0	7	0.2
Unknown Vital Status	105	3.7	107	5.8	0	0.0	212	4.6
Deceased	0	0.0	0	0.0	0	0.0	0	0.0
Contacted and Refused Interview	14	0.5	10	0.5	0	0.0	24	0.5
Contacted by Proxy, Reported Alive	271	9.6	213	11.5	0	0.0	484	10.4
Contacted and Interviewed	2420	86.0	1516	82.0	1	100.0	3937	84.4
Partial AFU Interview²	242	8.6	165	8.9	0	0.0	407	8.7
Core AFU Interview³	2	0.1	0	0.0	0	0.0	2	0.0
Complete AFU Interview⁴	2176	77.3	1351	73.1	1	100.0	3528	75.6
Contact rate for follow-up⁵	2705	96.1	1739	94.1	1	100.0	4445	95.3
Response rate for follow-up⁶	2420	86.0	1516	82.0	1	100.0	3937	84.4
Eligible for next year follow-up⁷	2800	99.5	1839	99.5	1	100.0	4640	99.5

Based on analysis files created on January 20, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

(1) AFU Missing: no forms present in database with data reported.

(2) Respondents with vital status but missing general health or hospitalization questions.

(3) Respondents with vital status and hospitalization information (GHEA1,GHEA2,HOEA3).

(4) AFU form content completed (GHEA,HOEA,OPEA,MEEB,EVEA).

(5) Numerator: Number of expected AFU interviews minus Missing or Unknown status, Denominator: expected number of AFU Interviews.

(6) Numerator: Number contacted and interviewed directly, Denominator: expected number of Interviews from Cohort not Deceased.

(7) Numerator: Number not deceased or refused, Denominator: expected number of AFU interviews for year 1.

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Table 2.15b2 Second Annual Follow-up Response for Participants past the Year 2 Contact Window (Baseline visit + 2.5 years) by Place of Birth

Reported Follow-up Status at End of Contact Year 2	Foreign Born (N=13244)		US Born (N=2793)		Missing (N=23)		Overall (N=16060)	
	N	%	N	%	N	%	N	%
Expected Number of Annual Interviews	3793		859		12		4664	
Missing AFU forms¹	7	0.2	0	0.0	0	0.0	7	0.2
Unknown Vital Status	174	4.6	36	4.2	2	16.7	212	4.6
Deceased	0	0.0	0	0.0	0	0.0	0	0.0
Contacted and Refused Interview	18	0.5	6	0.7	0	0.0	24	0.5
Contacted by Proxy, Reported Alive	361	9.5	120	14.0	3	25.0	484	10.4
Contacted and Interviewed	3233	85.2	697	81.1	7	58.3	3937	84.4
Partial AFU Interview²	314	8.3	89	10.4	4	33.3	407	8.7
Core AFU Interview³	1	0.0	1	0.1	0	0.0	2	0.0
Complete AFU Interview⁴	2918	76.9	607	70.7	3	25.0	3528	75.6
Contact rate for follow-up⁵	3612	95.2	823	95.8	10	83.3	4445	95.3
Response rate for follow-up⁶	3233	85.2	697	81.1	7	58.3	3937	84.4
Eligible for next year follow-up⁷	3775	99.5	853	99.3	12	100.0	4640	99.5

Based on analysis files created on January 20, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

(1) AFU Missing: no forms present in database with data reported.

(2) Respondents with vital status but missing general health or hospitalization questions.

(3) Respondents with vital status and hospitalization information (GHEA1,GHEA2,HOEA3).

(4) AFU form content completed (GHEA,HOEA,OPEA,MEEB,EVEA).

(5) Numerator: Number of expected AFU interviews minus Missing or Unknown status, Denominator: expected number of AFU Interviews.

(6) Numerator: Number contacted and interviewed directly, Denominator: expected number of Interviews from Cohort not Deceased.

(7) Numerator: Number not deceased or refused, Denominator: expected number of AFU interviews for year 1.

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Table 2.15b3 Second Annual Follow-up Response for Participants past the Year 2 Contact Window (Baseline visit + 2.5 years) by Employment Status

Reported Follow-up Status at End of Contact Year 2	Not Employed (N=7821)		Employed (N=8029)		Missing (N=210)		Overall (N=16060)	
	N	%	N	%	N	%	N	%
Expected Number of Annual Interviews	2121		2493		50		4664	
Missing AFU forms ¹	3	0.1	4	0.2	0	0.0	7	0.2
Unknown Vital Status	97	4.6	112	4.5	3	6.0	212	4.6
Deceased	0	0.0	0	0.0	0	0.0	0	0.0
Contacted and Refused Interview	5	0.2	19	0.8	0	0.0	24	0.5
Contacted by Proxy, Reported Alive	211	10.0	266	10.7	7	14.0	484	10.4
Contacted and Interviewed	1805	85.1	2092	83.9	40	80.0	3937	84.4
Partial AFU Interview ²	185	8.7	210	8.4	12	24.0	407	8.7
Core AFU Interview ³	2	0.1	0	0.0	0	0.0	2	0.0
Complete AFU Interview ⁴	1618	76.3	1882	75.5	28	56.0	3528	75.6
Contact rate for follow-up⁵	2021	95.3	2377	95.4	47	94.0	4445	95.3
Response rate for follow-up⁶	1805	85.1	2092	83.9	40	80.0	3937	84.4
Eligible for next year follow-up⁷	2116	99.8	2474	99.2	50	100.0	4640	99.5

Based on analysis files created on January 20, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

(1) AFU Missing: no forms present in database with data reported.

(2) Respondents with vital status but missing general health or hospitalization questions.

(3) Respondents with vital status and hospitalization information (GHEA1,GHEA2,HOEA3).

(4) AFU form content completed (GHEA,HOEA,OPEA,MEEB,EVEA).

(5) Numerator: Number of expected AFU interviews minus Missing or Unknown status, Denominator: expected number of AFU Interviews.

(6) Numerator: Number contacted and interviewed directly, Denominator: expected number of Interviews from Cohort not Deceased.

(7) Numerator: Number not deceased or refused, Denominator: expected number of AFU interviews for year 1.

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Table 2.15c Third Annual Follow-up Response for Participants past the Year 3 Contact Window (Baseline visit + 3.5 years)

Reported Follow-up Status at End of Contact Year 3	Bronx (N=3997)		Chicago (N=4117)		Miami (N=4051)		San Diego (N=4056)		Overall (N=16221)	
	N	%	N	%	N	%	N	%	N	%
Expected Number of Annual Interviews	157		119		206		148		630	
Missing AFU forms¹	13	8.3	8	6.7	12	5.8	20	13.5	53	8.4
Unknown Vital Status	3	1.9	10	8.4	31	15.1	4	2.7	48	7.6
Deceased	3	1.9	3	2.5	30	14.6	8	5.4	44	7.0
Contacted and Refused Interview	0	0.0	0	0.0	1	0.5	0	0.0	1	0.2
Contacted by Proxy, Reported Alive	3	1.9	1	0.8	1	0.5	1	0.7	6	1.0
Contacted and Interviewed	135	86.0	97	81.5	131	63.6	115	77.7	478	75.9
Partial AFU Interview²	1	0.6	0	0.0	0	0.0	3	2.0	4	0.6
Core AFU Interview³	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Complete AFU Interview⁴	134	85.4	97	81.5	131	63.6	112	75.7	474	75.2
Contact rate for follow-up⁵	141	89.8	101	84.9	163	79.1	124	83.8	529	84.0
Response rate for follow-up⁶	135	87.7	97	83.6	131	74.4	115	82.1	478	81.6
Eligible for next year follow-up⁷	154	98.1	116	97.5	175	85.0	140	94.6	585	92.9

Based on analysis files created on January 20, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

(1) AFU Missing: no forms present in database with data reported.

(2) Respondents with vital status but missing general health or hospitalization questions.

(3) Respondents with vital status and hospitalization information (GHEB1,GHEB2,HOEA3).

(4) AFU form content completed (GHEB,HOEA,OPEA,MEEC,EVEB).

(5) Numerator: Number of expected AFU interviews minus Missing or Unknown status, Denominator: expected number of AFU Interviews.

(6) Numerator: Number contacted and interviewed directly, Denominator: expected number of Interviews from Cohort not Deceased.

(7) Numerator: Number not deceased or refused, Denominator: expected number of AFU interviews for year 1.

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Table 2.16a Expected and Attempted Number of Annual Follow-up Interviews by Field Center

All study participants who are eligible for Annual Follow-up Contact Year 1

Status of Follow-Up	Bronx (N=4003)		Chicago (N=4118)		Miami (N=4057)		San Diego (N=4064)		Overall (N=16242)	
	N	%	N	%	N	%	N	%	N	%
Expected Number of Annual Interviews	3388	84.6	3408	82.8	3433	84.6	3548	87.3	13777	84.8
Attempted Number of Interviews¹	3141	92.7	3095	90.8	3349	97.6	3498	98.6	13083	95.0
Pending	1	0.0	0	0.0	0	0.0	0	0.0	1	0.0
Tracing	79	2.5	25	0.8	62	1.9	34	1.0	200	1.5
Alive/not contacted - attempt in same year	102	3.3	62	2.0	80	2.4	138	4.0	382	2.9
Alive/not contacted - contact not possible this year	247	7.9	237	7.7	79	2.4	305	8.7	868	6.6
Refusals²	32	1.0	34	1.1	30	0.9	26	0.7	122	0.9
Deceased²	12	0.4	5	0.2	12	0.4	8	0.2	37	0.3
Unknown²	47	1.5	83	2.7	109	3.3	69	2.0	308	2.4
Partial Interview/reschedule²	116	3.7	97	3.1	56	1.7	110	3.1	379	2.9
Completed Interviews - Participant²	2494	79.4	2486	80.3	2915	87.0	2788	79.7	10683	81.7
Completed Interviews - Informant²	11	0.4	66	2.1	6	0.2	20	0.6	103	0.8

Based on analysis files created on January 20, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

(1) Based on number of Annual Follow-Up Tracking Forms submitted.

(2) AFTA coding requires an Annual Follow-Up (GHEA part of AFU) form to be entered.

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Table 2.16b Expected and Attempted Number of Second Annual Follow-up Interviews by Field Center

All study participants who are eligible for Annual Follow-up Contact Year 2

Status of Follow-Up	<u>Bronx</u> (N=4003)		<u>Chicago</u> (N=4118)		<u>Miami</u> (N=4057)		<u>San Diego</u> (N=4064)		<u>Overall</u> (N=16242)	
	N	%	N	%	N	%	N	%	N	%
Expected Number of Annual Interviews	1772	44.3	2136	51.9	1753	43.2	2159	53.1	7820	48.2
Attempted Number of Interviews¹	1553	87.6	1961	91.8	1744	99.5	2104	97.5	7362	94.1
Pending	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Tracing	75	4.8	69	3.5	154	8.8	58	2.8	356	4.8
Alive/not contacted - attempt in same year	47	3.0	67	3.4	86	4.9	145	6.9	345	4.7
Alive/not contacted - contact not possible this year	89	5.7	71	3.6	30	1.7	144	6.8	334	4.5
Refusals²	17	1.1	9	0.5	25	1.4	19	0.9	70	1.0
Deceased²	7	0.5	6	0.3	8	0.5	3	0.1	24	0.3
Unknown²	36	2.3	54	2.8	62	3.6	52	2.5	204	2.8
Partial Interview/reschedule²	14	0.9	4	0.2	4	0.2	0	0.0	22	0.3
Completed Interviews - Participant²	1264	81.4	1653	84.3	1368	78.4	1670	79.4	5955	80.9
Completed Interviews - Informant²	4	0.3	28	1.4	7	0.4	13	0.6	52	0.7

Based on analysis files created on January 20, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

(1) Based on number of Annual Follow-Up Tracking Forms submitted.

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Table 2.16c Expected and Attempted Number of Third Annual Follow-up Interviews by Field Center

All study participants who are eligible for Annual Follow-up Contact Year 3

Status of Follow-Up	Bronx (N=4003)		Chicago (N=4118)		Miami (N=4057)		San Diego (N=4064)		Overall (N=16242)	
	N	%	N	%	N	%	N	%	N	%
Expected Number of Annual Interviews	625	15.6	594	14.4	453	11.2	739	18.2	2411	14.8
Attempted Number of Interviews¹	472	75.5	452	76.1	438	96.7	693	93.8	2055	85.2
Pending	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Tracing	70	14.8	16	3.5	37	8.5	92	13.3	215	10.5
Alive/not contacted - attempt in same year	40	8.5	14	3.1	43	9.8	108	15.6	205	10.0
Alive/not contacted - contact not possible this year	5	1.1	4	0.9	33	7.5	10	1.4	52	2.5
Refusals²	1	0.2	0	0.0	0	0.0	4	0.6	5	0.2
Deceased²	5	1.1	1	0.2	5	1.1	2	0.3	13	0.6
Unknown²	4	0.9	9	2.0	34	7.8	4	0.6	51	2.5
Partial Interview/reschedule²	1	0.2	0	0.0	14	3.2	1	0.1	16	0.8
Completed Interviews - Participant²	345	73.1	405	89.6	269	61.4	471	68.0	1490	72.5
Completed Interviews - Informant²	1	0.2	3	0.7	3	0.7	1	0.1	8	0.4

Based on analysis files created on January 20, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

(1) Based on number of Annual Follow-Up Tracking Forms submitted.

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Table 2.17a. Number of First Annual Follow-Up/Food Propensity Interviews by Center

Only study participants who are past the Annual Follow-up Contact Year 1 window (baseline visit + 1.5 years)

Interview Component	<u>Bronx</u>			<u>Chicago</u>			<u>Miami</u>			<u>San Diego</u>			<u>Overall</u>		
	<u>Expected</u>	<u>Completed</u>		<u>Expected</u>	<u>Completed</u>		<u>Expected</u>	<u>Completed</u>		<u>Expected</u>	<u>Completed</u>		<u>Expected</u>	<u>Completed</u>	
	N	N	%	N	N	%	N	N	%	N	N	%	N	N	%
Expected Number of Annual Interviews	2580			2579			2461			2730			10350		
Annual Follow-Up															
GHE - General Health¹	2580	2527	97.9	2579	2471	95.8	2461	2457	99.8	2730	2714	99.4	10350	10169	98.3
HOE - Hospitalization/Emergency Dept. Events²	2284	2212	96.8	2120	2101	99.1	2233	2230	99.9	2306	2300	99.7	8943	8843	98.9
OPE - Out Patient Conditions²	2282	2212	96.9	2117	2105	99.4	2228	2226	99.9	2300	2296	99.8	8927	8839	99.0
MEE - Medications²	2282	2205	96.6	2117	2079	98.2	2228	2225	99.9	2300	2286	99.4	8927	8795	98.5
CIE - Contact Information³	2517	2346	93.2	2467	2429	98.5	2446	2445	100.0	2708	2707	100.0	10138	9927	97.9
Food Propensity¹	2282			2117			2228			2300			8927		
Version A - Long Form		660	28.9		568	26.8		494	22.2		693	30.1		2415	27.1
Version B - Short Form		1538	67.4		1550	73.2		1698	76.2		1508	65.6		6294	70.5

Based on analysis files created on January 20, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

Note: Expected number of interviews is based on the number of participants within the window for annual follow-up contacts.

(1) To be completed for all participants where an AFU is expected, and is observed if General Health section of AFU present with a valid value for question GHEA1.

(2) Expected only if participant was contacted and alive (GHEA1=1). Denominator is number of AFU interviews.

(3) Expected only if participant was NOT reported as deceased (GHEA1=1,2,3,9). Denominator is number of AFU interviews.

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Table 2.17b. Number of Second Annual Follow-Up Interviews by Center

Only study participants who are past the Annual Follow-up Contact Year 2 window (baseline visit + 2.5 years)

Interview Component	<u>Bronx</u>			<u>Chicago</u>			<u>Miami</u>			<u>San Diego</u>			<u>Overall</u>		
	<u>Expected</u>	<u>Completed</u>		<u>Expected</u>	<u>Completed</u>		<u>Expected</u>	<u>Completed</u>		<u>Expected</u>	<u>Completed</u>		<u>Expected</u>	<u>Completed</u>	
	N	N	%	N	N	%	N	N	%	N	N	%	N	N	%
Expected Number of Annual Interviews	1098			1345			832			1413			4688		
Annual Follow-Up															
GHE - General Health¹	1098	1060	96.5	1345	1267	94.2	832	826	99.3	1413	1380	97.7	4688	4533	96.7
HOE - Hospitalization/Emergency Dept. Events²	951	929	97.7	1145	1143	99.8	734	732	99.7	1163	1163	100.0	3993	3967	99.3
OPE - Out Patient Conditions²	951	927	97.5	1145	1141	99.7	734	732	99.7	1163	1162	99.9	3993	3962	99.2
EVE - Self-Reported Events²	951	930	97.8	1145	1141	99.7	734	732	99.7	1163	1157	99.5	3993	3960	99.2
MEE - Medications²	951	909	95.6	1145	1137	99.3	734	730	99.5	1163	1159	99.7	3993	3935	98.5
CIE - Contact Information³	1060	974	91.9	1266	1253	99.0	826	826	100.0	1380	1377	99.8	4532	4430	97.7

Based on analysis files created on January 20, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

Note: Expected number of interviews is based on the number of participants within the window for annual follow-up contacts.

(1) To be completed for all participants where an AFU is expected, and is observed if General Health section of AFU present with a valid value for question GHEA1.

(2) Expected only if participant was contacted and alive (GHEA1=1). Denominator is number of AFU interviews.

(3) Expected only if participant was NOT reported as deceased (GHEA1=1,2,3,9). Denominator is number of AFU interviews.

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Table 2.17c. Number of Third Annual Follow-Up Interviews by Center
All study participants who are eligible for Annual Follow-up Contact Year 3

Interview Component	<u>Bronx</u>			<u>Chicago</u>			<u>Miami</u>			<u>San Diego</u>			<u>Overall</u>		
	<u>Expected</u>	<u>Completed</u>		<u>Expected</u>	<u>Completed</u>		<u>Expected</u>	<u>Completed</u>		<u>Expected</u>	<u>Completed</u>		<u>Expected</u>	<u>Completed</u>	
	N	N	%	N	N	%	N	N	%	N	N	%	N	N	%
Expected Number of Annual Interviews	155			118			203			148			624		
Annual Follow-Up															
GHE - General Health¹	157	144	91.7	119	111	93.3	206	194	94.2	148	128	86.5	630	577	91.6
HOE - Hospitalization/Emergency Dept. Events²	138	135	97.8	98	98	100.0	132	132	100.0	116	113	97.4	484	478	98.8
OPE - Out Patient Conditions²	138	135	97.8	98	98	100.0	132	132	100.0	116	114	98.3	484	479	99.0
EVE - Self-Reported Events²	138	135	97.8	98	98	100.0	132	132	100.0	116	115	99.1	484	480	99.2
MEE - Medications²	138	134	97.1	98	98	100.0	132	132	100.0	116	115	99.1	484	479	99.0
CBE - place of Birth²	138	134	97.1	98	98	100.0	132	132	100.0	116	108	93.1	484	472	97.5
CIE - Contact Information³	144	132	91.7	111	0	0.0	194	191	98.5	128	127	99.2	577	450	78.0

Based on analysis files created on January 20, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

Note: Expected number of interviews is based on the number of participants within the window for annual follow-up contacts.

(1) To be completed for all participants where an AFU is expected, and is observed if General Health section of AFU present with a valid value for question GHEA1.

(2) Expected only if participant was contacted and alive (GHEB1=1) or if Designated respondent contacted, reported alive (GHEB1=3). Denominator is number of expected interviews.

(3) Expected only if participant was NOT reported as deceased (GHEB1=1,2,3,9). Denominator is number of expected interviews.

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Table 2.18. Hospitalization and Emergency Department Visits* by Center

Preliminary Reports	<u>Bronx</u>		<u>Chicago</u>		<u>Miami</u>		<u>San Diego</u>		<u>Overall</u>	
	N	%	N	%	N	%	N	%	N	%
Number of Participants with AFU Present	4638		4994		4902		5503		20037	
Number of Participants with a Hospital/Emergency Dept. Admissions (at least one)	732	25.1	505	16.9	445	14.3	452	13.9	2134	17.4
Number of Participants with multiple Hospital/Emergency Dept. Admissions	142	4.9	85	2.8	69	2.2	78	2.4	374	3.1
Total Hospital/Emergency Dept. Admissions	1399		1130		868		925		4322	
Emergency Department	835		483		423		503		2244	
Myocardial infarction, heart attack	4	0.5	1	0.2	0	0.0	6	1.2	11	0.5
Angina, chest pain	27	3.2	12	2.5	12	2.8	16	3.2	67	3.0
Heart failure	2	0.2	3	0.6	0	0.0	0	0.0	5	0.2
Stroke or TIA	2	0.2	1	0.2	2	0.5	1	0.2	6	0.3
Peripheral vascular disease	1	0.1	0	0.0	3	0.7	1	0.2	5	0.2
Venous thrombosis or pulmonary embolism	2	0.2	0	0.0	0	0.0	0	0.0	2	0.1
Chronic Obstructive Pulmonary Disease, emphysema, or chronic bronchitis	7	0.8	2	0.4	3	0.7	2	0.4	14	0.6
Asthma	66	7.9	7	1.5	12	2.8	5	1.0	90	4.0
Other	723	86.6	454	94.0	390	92.2	469	93.2	2036	90.7
Hospitalizations	180		243		148		301		872	
Myocardial infarction, heart attack	1	0.6	0	0.0	5	3.4	3	1.0	9	1.0
Angina, chest pain	6	3.3	5	2.1	5	3.4	7	2.3	23	2.6
Heart failure	1	0.6	2	0.8	2	1.4	2	0.7	7	0.8
Stroke or TIA	3	1.7	0	0.0	2	1.4	4	1.3	9	1.0
Peripheral vascular disease	1	0.6	2	0.8	0	0.0	2	0.7	5	0.6
Venous thrombosis or pulmonary embolism	0	0.0	1	0.4	0	0.0	0	0.0	1	0.1
Chronic Obstructive Pulmonary Disease, emphysema, or chronic bronchitis	0	0.0	2	0.8	0	0.0	0	0.0	2	0.2
Asthma	6	3.3	1	0.4	2	1.4	1	0.3	10	1.2
Other	162	90.0	229	94.2	132	89.2	280	93.0	803	92.1

Based on analysis files created on January 20, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

*Participants may have more than one hospital/Emergency Dept. visit.

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Table 2.18. Hospitalization and Emergency Department Visits* by Center

Preliminary Reports	<u>Bronx</u>		<u>Chicago</u>		<u>Miami</u>		<u>San Diego</u>		<u>Overall</u>	
	N	%	N	%	N	%	N	%	N	%
Both Emergency Dept. and Hospitalizations (at once)	384		398		294		116		1192	
Myocardial infarction, heart attack	11	2.9	2	0.5	11	3.7	3	2.6	27	2.3
Angina, chest pain	29	7.6	29	7.3	15	5.1	10	8.6	83	7.0
Heart failure	5	1.3	7	1.8	5	1.7	1	0.9	18	1.5
Stroke or TIA	7	1.8	7	1.8	16	5.4	0	0.0	30	2.5
Peripheral vascular disease	3	0.8	0	0.0	1	0.3	1	0.9	5	0.4
Venous thrombosis or pulmonary embolism	0	0.0	2	0.5	0	0.0	0	0.0	2	0.2
Chronic Obstructive Pulmonary Disease, emphysema, or chronic bronchitis	4	1.0	6	1.5	1	0.3	0	0.0	11	0.9
Asthma	24	6.3	10	2.5	5	1.7	0	0.0	39	3.3
Other	301	78.4	332	83.4	240	81.6	98	84.5	971	81.5
Unknown/Missing	0		6		3		5		14	

Based on analysis files created on January 20, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

*Participants may have more than one hospital/Emergency Dept. visit.

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Table 2.18a. Hospitalization and Emergency Department Visits* by Event Year and Center for Event Year of 2008 Only

Preliminary Reports	Bronx		Chicago		Miami		San Diego		Overall	
	N	%	N	%	N	%	N	%	N	%
Number of Participants with a Hospital/Emergency Dept. Admissions (at least one)	25		10		14		16		65	
Number of Participants with multiple Hospital/Emergency Dept. Admissions	10	40.0	1	10.0	4	28.6	6	37.5	21	32.3
Total Hospital/Emergency Dept. Admissions	35		11		28		24		98	
Emergency Department	22		6		11		14		53	
Myocardial infarction, heart attack	1	4.6	0	0.0	0	0.0	0	0.0	1	1.9
Angina, chest pain	2	9.1	0	0.0	2	18.2	1	7.1	5	9.4
Heart failure	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Stroke or TIA	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Peripheral vascular disease	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Venous thrombosis or pulmonary embolism	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Chronic Obstructive Pulmonary Disease, emphysema, or chronic bronchitis	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Asthma	0	0.0	1	16.7	0	0.0	0	0.0	1	1.9
Other	19	86.4	5	83.3	9	81.8	13	92.9	46	86.8
Hospitalizations	7		2		3		5		17	
Myocardial infarction, heart attack	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Angina, chest pain	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Heart failure	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Stroke or TIA	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Peripheral vascular disease	0	0.0	0	0.0	0	0.0	1	20.0	1	5.9
Venous thrombosis or pulmonary embolism	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Chronic Obstructive Pulmonary Disease, emphysema, or chronic bronchitis	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Asthma	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Other	7	100.0	2	100.0	3	100.0	4	80.0	16	94.1

Based on analysis files created on January 20, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

*Participants may have more than one hospital/Emergency Dept. visit.

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Table 2.18a. Hospitalization and Emergency Department Visits* by Event Year and Center for Event Year of 2008 Only

Preliminary Reports	<u>Bronx</u>		<u>Chicago</u>		<u>Miami</u>		<u>San Diego</u>		<u>Overall</u>	
	N	%	N	%	N	%	N	%	N	%
Both Emergency Dept. and Hospitalizations (at once)	6		3		14		5		28	
Myocardial infarction, heart attack	0	0.0	0	0.0	4	28.6	0	0.0	4	14.3
Angina, chest pain	0	0.0	0	0.0	1	7.1	0	0.0	1	3.6
Heart failure	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Stroke or TIA	1	16.7	0	0.0	0	0.0	0	0.0	1	3.6
Peripheral vascular disease	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Venous thrombosis or pulmonary embolism	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Chronic Obstructive Pulmonary Disease, emphysema, or chronic bronchitis	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Asthma	0	0.0	1	33.3	0	0.0	0	0.0	1	3.6
Other	5	83.3	2	66.7	9	64.3	5	100.0	21	75.0
Unknown/Missing	0		0		0		0		0	

Based on analysis files created on January 20, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

*Participants may have more than one hospital/Emergency Dept. visit.

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Table 2.18b. Hospitalization and Emergency Department Visits* by Event Year and Center for Event Year of 2009 Only

Preliminary Reports	<u>Bronx</u>		<u>Chicago</u>		<u>Miami</u>		<u>San Diego</u>		<u>Overall</u>	
	N	%	N	%	N	%	N	%	N	%
Number of Participants with a Hospital/Emergency Dept. Admissions (at least one)	200		104		115		136		555	
Number of Participants with multiple Hospital/Emergency Dept. Admissions	48	24.0	16	15.4	20	17.4	32	23.5	116	20.9
Total Hospital/Emergency Dept. Admissions	271		152		167		204		794	
Emergency Department	143		64		70		106		383	
Myocardial infarction, heart attack	0	0.0	1	1.6	0	0.0	0	0.0	1	0.3
Angina, chest pain	5	3.5	0	0.0	4	5.7	4	3.8	13	3.4
Heart failure	1	0.7	1	1.6	0	0.0	0	0.0	2	0.5
Stroke or TIA	0	0.0	1	1.6	1	1.4	0	0.0	2	0.5
Peripheral vascular disease	0	0.0	0	0.0	2	2.9	1	0.9	3	0.8
Venous thrombosis or pulmonary embolism	1	0.7	0	0.0	0	0.0	0	0.0	1	0.3
Chronic Obstructive Pulmonary Disease, emphysema, or chronic bronchitis	1	0.7	1	1.6	0	0.0	0	0.0	2	0.5
Asthma	8	5.6	2	3.1	1	1.4	0	0.0	11	2.9
Other	127	88.8	58	90.6	62	88.6	101	95.3	348	90.9
Hospitalizations	42		22		42		61		167	
Myocardial infarction, heart attack	0	0.0	0	0.0	0	0.0	1	1.6	1	0.6
Angina, chest pain	1	2.4	0	0.0	1	2.4	2	3.3	4	2.4
Heart failure	0	0.0	0	0.0	0	0.0	1	1.6	1	0.6
Stroke or TIA	3	7.1	0	0.0	1	2.4	1	1.6	5	3.0
Peripheral vascular disease	1	2.4	0	0.0	0	0.0	0	0.0	1	0.6
Venous thrombosis or pulmonary embolism	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Chronic Obstructive Pulmonary Disease, emphysema, or chronic bronchitis	0	0.0	1	4.6	0	0.0	0	0.0	1	0.6
Asthma	2	4.8	0	0.0	2	4.8	0	0.0	4	2.4
Other	35	83.3	21	95.5	38	90.5	56	91.8	150	89.8

Based on analysis files created on January 20, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

*Participants may have more than one hospital/Emergency Dept. visit.

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Table 2.18b. Hospitalization and Emergency Department Visits* by Event Year and Center for Event Year of 2009 Only

Preliminary Reports	<u>Bronx</u>		<u>Chicago</u>		<u>Miami</u>		<u>San Diego</u>		<u>Overall</u>	
	N	%	N	%	N	%	N	%	N	%
Both Emergency Dept. and Hospitalizations (at once)	86		66		55		35		242	
Myocardial infarction, heart attack	0	0.0	1	1.5	2	3.6	2	5.7	5	2.1
Angina, chest pain	9	10.5	5	7.6	3	5.5	3	8.6	20	8.3
Heart failure	1	1.2	1	1.5	1	1.8	0	0.0	3	1.2
Stroke or TIA	2	2.3	0	0.0	7	12.7	0	0.0	9	3.7
Peripheral vascular disease	1	1.2	0	0.0	1	1.8	0	0.0	2	0.8
Venous thrombosis or pulmonary embolism	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Chronic Obstructive Pulmonary Disease, emphysema, or chronic bronchitis	1	1.2	1	1.5	0	0.0	0	0.0	2	0.8
Asthma	4	4.7	1	1.5	2	3.6	0	0.0	7	2.9
Other	68	79.1	57	86.4	39	70.9	29	82.9	193	79.8
Unknown/Missing	0		0		0		2		2	

Based on analysis files created on January 20, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

*Participants may have more than one hospital/Emergency Dept. visit.

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Table 2.18c. Hospitalization and Emergency Department Visits* by Event Year and Center for Event Year of 2010 Only

Preliminary Reports	<u>Bronx</u>		<u>Chicago</u>		<u>Miami</u>		<u>San Diego</u>		<u>Overall</u>	
	N	%	N	%	N	%	N	%	N	%
Number of Participants with a Hospital/Emergency Dept. Admissions (at least one)	271		230		191		180		872	
Number of Participants with multiple Hospital/Emergency Dept. Admissions	50	18.5	46	19.9	24	12.6	31	17.2	151	17.3
Total Hospital/Emergency Dept. Admissions	553		472		335		346		1706	
Emergency Department	306		186		168		193		853	
Myocardial infarction, heart attack	1	0.3	0	0.0	0	0.0	2	1.0	3	0.4
Angina, chest pain	14	4.6	6	3.2	1	0.6	6	3.1	27	3.2
Heart failure	1	0.3	0	0.0	0	0.0	0	0.0	1	0.1
Stroke or TIA	1	0.3	0	0.0	0	0.0	0	0.0	1	0.1
Peripheral vascular disease	0	0.0	0	0.0	1	0.6	0	0.0	1	0.1
Venous thrombosis or pulmonary embolism	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Chronic Obstructive Pulmonary Disease, emphysema, or chronic bronchitis	3	1.0	0	0.0	2	1.2	0	0.0	5	0.6
Asthma	21	6.9	3	1.6	7	4.2	3	1.6	34	4.0
Other	265	86.6	177	95.2	157	93.5	180	93.3	779	91.3
Hospitalizations	68		129		40		111		348	
Myocardial infarction, heart attack	1	1.5	0	0.0	2	5.0	2	1.8	5	1.4
Angina, chest pain	2	2.9	6	4.7	1	2.5	3	2.7	12	3.5
Heart failure	1	1.5	0	0.0	0	0.0	0	0.0	1	0.3
Stroke or TIA	0	0.0	0	0.0	0	0.0	1	0.9	1	0.3
Peripheral vascular disease	0	0.0	1	0.8	0	0.0	0	0.0	1	0.3
Venous thrombosis or pulmonary embolism	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Chronic Obstructive Pulmonary Disease, emphysema, or chronic bronchitis	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Asthma	3	4.4	0	0.0	0	0.0	1	0.9	4	1.2
Other	61	89.7	122	94.6	37	92.5	104	93.7	324	93.1

Based on analysis files created on January 20, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

*Participants may have more than one hospital/Emergency Dept. visit.

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Table 2.18c. Hospitalization and Emergency Department Visits* by Event Year and Center for Event Year of 2010 Only

Preliminary Reports	<u>Bronx</u>		<u>Chicago</u>		<u>Miami</u>		<u>San Diego</u>		<u>Overall</u>	
	N	%	N	%	N	%	N	%	N	%
Both Emergency Dept. and Hospitalizations (at once)	179		157		125		41		502	
Myocardial infarction, heart attack	6	3.4	0	0.0	2	1.6	0	0.0	8	1.6
Angina, chest pain	13	7.3	12	7.6	3	2.4	4	9.8	32	6.4
Heart failure	2	1.1	4	2.6	2	1.6	1	2.4	9	1.8
Stroke or TIA	4	2.2	2	1.3	5	4.0	0	0.0	11	2.2
Peripheral vascular disease	2	1.1	0	0.0	0	0.0	1	2.4	3	0.6
Venous thrombosis or pulmonary embolism	0	0.0	2	1.3	0	0.0	0	0.0	2	0.4
Chronic Obstructive Pulmonary Disease, emphysema, or chronic bronchitis	1	0.6	1	0.6	1	0.8	0	0.0	3	0.6
Asthma	10	5.6	5	3.2	2	1.6	0	0.0	17	3.4
Other	141	78.8	130	82.8	110	88.0	35	85.4	416	82.9
Unknown/Missing	0		0		2		1		3	

Based on analysis files created on January 20, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

*Participants may have more than one hospital/Emergency Dept. visit.

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Table 2.18d. Hospitalization and Emergency Department Visits* by Event Year and Center for Event Year of 2011 Only

Preliminary Reports	<u>Bronx</u>		<u>Chicago</u>		<u>Miami</u>		<u>San Diego</u>		<u>Overall</u>	
	N	%	N	%	N	%	N	%	N	%
Number of Participants with a Hospital/Emergency Dept. Admissions (at least one)	252		148		132		146		678	
Number of Participants with multiple Hospital/Emergency Dept. Admissions	38	15.1	23	15.5	25	18.9	12	8.2	98	14.5
Total Hospital/Emergency Dept. Admissions	496		389		302		323		1510	
Emergency Department	313		200		152		183		848	
Myocardial infarction, heart attack	0	0.0	0	0.0	0	0.0	1	0.6	1	0.1
Angina, chest pain	9	2.9	7	3.5	6	4.0	5	2.7	27	3.2
Heart failure	0	0.0	2	1.0	1	0.7	1	0.6	4	0.5
Stroke or TIA	2	0.6	0	0.0	0	0.0	3	1.6	5	0.6
Peripheral vascular disease	1	0.3	0	0.0	0	0.0	1	0.6	2	0.2
Venous thrombosis or pulmonary embolism	1	0.3	0	0.0	0	0.0	0	0.0	1	0.1
Chronic Obstructive Pulmonary Disease, emphysema, or chronic bronchitis	3	1.0	2	1.0	1	0.7	3	1.6	9	1.1
Asthma	38	12.1	1	0.5	3	2.0	2	1.1	44	5.2
Other	258	82.4	186	93.0	140	92.1	167	91.3	751	88.6
Hospitalizations	69		77		58		103		307	
Myocardial infarction, heart attack	0	0.0	0	0.0	3	5.2	0	0.0	3	1.0
Angina, chest pain	1	1.5	0	0.0	3	5.2	0	0.0	4	1.3
Heart failure	0	0.0	1	1.3	2	3.5	1	1.0	4	1.3
Stroke or TIA	0	0.0	0	0.0	0	0.0	3	2.9	3	1.0
Peripheral vascular disease	0	0.0	1	1.3	0	0.0	1	1.0	2	0.7
Venous thrombosis or pulmonary embolism	0	0.0	1	1.3	1	1.7	0	0.0	2	0.7
Chronic Obstructive Pulmonary Disease, emphysema, or chronic bronchitis	0	0.0	1	1.3	0	0.0	0	0.0	1	0.3
Asthma	1	1.5	1	1.3	0	0.0	0	0.0	2	0.7
Other	67	97.1	71	92.2	49	84.5	97	94.2	284	92.5

Based on analysis files created on January 20, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

*Participants may have more than one hospital/Emergency Dept. visit.

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Table 2.18d. Hospitalization and Emergency Department Visits* by Event Year and Center for Event Year of 2011 Only

Preliminary Reports	<u>Bronx</u>		<u>Chicago</u>		<u>Miami</u>		<u>San Diego</u>		<u>Overall</u>	
	N	%	N	%	N	%	N	%	N	%
Both Emergency Dept. and Hospitalizations (at once)	114		109		91		36		350	
Myocardial infarction, heart attack	5	4.4	1	0.9	1	1.1	1	2.8	8	2.3
Angina, chest pain	5	4.4	11	10.1	11	12.1	2	5.6	29	8.3
Heart failure	1	0.9	0	0.0	2	2.2	0	0.0	3	0.9
Stroke or TIA	0	0.0	2	1.8	5	5.5	0	0.0	7	2.0
Peripheral vascular disease	0	0.0	0	0.0	0	0.0	1	2.8	1	0.3
Venous thrombosis or pulmonary embolism	1	0.9	0	0.0	0	0.0	0	0.0	1	0.3
Chronic Obstructive Pulmonary Disease, emphysema, or chronic bronchitis	2	1.8	1	0.9	0	0.0	0	0.0	3	0.9
Asthma	9	7.9	1	0.9	1	1.1	0	0.0	11	3.1
Other	90	79.0	92	84.4	71	78.0	30	83.3	283	80.9
Unknown/Missing	0		3		1		1		5	

Based on analysis files created on January 20, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

*Participants may have more than one hospital/Emergency Dept. visit.

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Table 2.19. Out-patient Self-Reported Conditions by Center

Self-reported conditions regardless of whether hospitalization or Emergency Dept. visit reported¹

Preliminary Reports	<u>Bronx</u>		<u>Chicago</u>		<u>Miami</u>		<u>San Diego</u>		<u>Overall</u>	
	N	%	N	%	N	%	N	%	N	%
Number of Participants with AFU Present	4840		5237		5164		5822		21063	
AFU:OPE - Out-patient Conditions Form	4597	95.0	5174	98.8	5130	99.3	5801	99.6	20702	98.3
COPD,etc. - Out-patient self-report	114	2.5	84	1.6	85	1.7	84	1.5	367	1.8
Diagnostic tests for COPD,etc.²	96	84.2	62	73.8	69	81.2	62	73.8	289	78.8
Exacerbation/Worsening of COPD,etc.	33	29.0	26	31.0	25	29.4	11	13.1	95	25.9
Change in Medication	29	87.9	20	76.9	14	56.0	6	54.6	69	72.6
Asthma - Out-patient self-report	381	8.3	161	3.1	56	1.1	204	3.5	802	3.9
Diagnostic tests for Asthma^{2,3}	294	77.2	113	70.2	36	64.3	102	50.0	545	68.0
Exacerbation/Worsening of Asthma	143	37.5	36	22.4	17	30.4	26	12.8	222	27.7
Change in Medication	127	88.8	31	86.1	10	58.8	14	53.9	182	82.0

Based on analysis files created on January 20, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

1. Participants may have more than one hospital/Emergency Dept. visit for COPD or Asthma.

2. Diagnostic Tests: Breathing/pulmonary test, chest x-ray, or chest CT scan.

3. Bronx has missing diagnosis test indicators for two participants.

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Table 2.19a. Outpatient Self-Reported Conditions by Center
Non-Pulmonary or Unreported Hospitalizations/Emergency Dept. Visits Only¹

Preliminary Reports	<u>Bronx</u>		<u>Chicago</u>		<u>Miami</u>		<u>San Diego</u>		<u>Overall</u>	
	N	%	N	%	N	%	N	%	N	%
Number of Participants with AFU Present	4807		5203		5146		5791		20947	
AFU:OPE - Out Patient Conditions Form	4196	100.0	4408	100.0	4661	100.0	4972	99.9	18237	100.0
COPD,etc. - Outpatient self-report	84	2.0	67	1.5	74	1.6	76	1.5	301	1.7
Diagnostic tests for COPD,etc.²	71	84.5	47	70.2	59	79.7	58	76.3	235	78.1
Exacerbation/Worsening of COPD,etc.	22	26.2	18	26.9	23	31.1	10	13.2	73	24.3
Change in Medication	20	90.9	13	72.2	13	56.5	6	60.0	52	71.2
Asthma - Outpatient self-report	323	7.7	142	3.2	48	1.0	189	3.8	702	3.9
Diagnostic tests for Asthma^{2,3}	246	76.2	98	69.0	28	58.3	91	48.2	463	66.0
Exacerbation/Worsening of Asthma	117	36.2	28	19.7	14	29.2	24	12.7	183	26.1
Change in Medication	103	88.0	25	89.3	9	64.3	12	50.0	149	81.4

Based on analysis files created on January 20, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

1. Participants may have no reported hospital/Emergency Dept. visits or more than one hospital/Emergency Dept. visit, limited to only those visits without heart failure, COPD, or asthma (HOEA4a=2,6, or 7).

2. Diagnostic Tests: Breathing/pulmonary test, chest x-ray, or chest CT scan.

3. Bronx has missing diagnosis test indicators for two participants.

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Table 2.20. Out-patient Self-Report of Diabetes During First Year of Annual Follow-up by Center

Outpatient condition	<u>Bronx</u>		<u>Chicago</u>		<u>Miami</u>		<u>San Diego</u>		<u>Overall</u>	
	N	%	N	%	N	%	N	%	N	%
Number of Participants with AFU Present	3001		3000		3243		3373		12617	
AFU:OPE - Out-patient Conditions Form	2759	91.9	2810	93.7	3189	98.3	3353	99.4	12111	96.0
Told by MD had diabetes	302	11.0	248	8.8	65	2.0	474	14.1	1089	9.0
New or different treatments recommended	163	54.0	135	54.4	50	76.9	196	41.4	544	50.0
Diabetes treatment recommended	105	64.4	94	69.6	41	82.0	117	59.7	357	65.6
Pills	29	17.8	13	9.6	0	0.0	12	6.1	54	9.9
Insulin alone	29	17.8	16	11.9	0	0.0	12	6.1	57	10.5
Insulin and pills	36	22.1	37	27.4	7	14.0	15	7.7	95	17.5
Referred for eye exam	83	50.9	91	67.4	32	64.0	109	55.6	315	57.9
Advice to change diet	27	16.6	12	8.9	3	6.0	5	2.6	47	8.6
Advice to stop smoking	78	47.9	78	57.8	18	36.0	84	42.9	258	47.4
Advice to increase exercise	5	3.1	5	3.7	1	2.0	7	3.6	18	3.3

Based on analysis files created on January 20, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

Note: Denominator for recommended treatment is number who had a new or different treatment. More than one new or different treatment may be recommended.

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Table 2.21. Out-patient Self-Report of Hypertension During First Year of Annual Follow-up by Center

Outpatient condition	<u>Bronx</u>		<u>Chicago</u>		<u>Miami</u>		<u>San Diego</u>		<u>Overall</u>	
	N	%	N	%	N	%	N	%	N	%
Number of Participants with AFU Present	3001		3000		3243		3373		12617	
AFU:OPE - Out-patient Conditions Form	2759	91.9	2810	93.7	3189	98.3	3353	99.4	12111	96.0
Told by MD had hypertension	564	20.4	358	12.7	168	5.3	656	19.6	1746	14.4
New or different treatments recommended	318	56.4	197	55.0	137	81.6	249	38.0	901	51.6
Hypertension treatment recommended	271	85.2	131	66.5	113	82.5	190	76.3	705	78.3
Start new medication	28	8.8	26	13.2	15	11.0	24	9.6	93	10.3
Increase dose same medicine	93	29.3	82	41.6	45	32.9	46	18.5	266	29.5
Advice to lose weight	120	37.7	111	56.4	71	51.8	91	36.6	393	43.6
Advice to change diet	46	14.5	22	11.2	11	8.0	7	2.8	86	9.5
Advice to stop smoking	106	33.3	117	59.4	45	32.9	93	37.4	361	40.1
Advice to increase exercise	8	2.5	4	2.0	3	2.2	9	3.6	24	2.7

Based on analysis files created on January 20, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

Note: Denominator for recommended treatment is number who had a new or different treatment. More than one new or different treatment may be recommended.

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Table 2.22. Out-patient Self-Report of High Cholesterol During First Year of Annual Follow-up by Center

Outpatient condition	<u>Bronx</u>		<u>Chicago</u>		<u>Miami</u>		<u>San Diego</u>		<u>Overall</u>	
	N	%	N	%	N	%	N	%	N	%
Number of Participants with AFU Present	3001		3000		3243		3373		12617	
AFU:OPE - Out-patient Conditions Form	2759	91.9	2810	93.7	3189	98.3	3353	99.4	12111	96.0
Told by MD had high Cholesterol	566	20.5	497	17.7	282	8.8	752	22.4	2097	17.3
New or different treatments recommended	356	62.9	326	65.6	219	77.7	408	54.3	1309	62.4
Cholesterol treatment recommended	260	73.0	173	53.1	155	70.8	223	54.7	811	62.0
Start new medication	15	4.2	26	8.0	3	1.4	13	3.2	57	4.4
Increase dose same medicine	140	39.3	151	46.3	103	47.0	100	24.5	494	37.7
Advice to lose weight	192	53.9	242	74.2	152	69.4	266	65.2	852	65.1
Advice to change diet	46	12.9	29	8.9	9	4.1	15	3.7	99	7.6
Advice to stop smoking	142	39.9	204	62.6	92	42.0	178	43.6	616	47.1
Advice to increase exercise	5	1.4	9	2.8	3	1.4	9	2.2	26	2.0

Based on analysis files created on January 20, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

Note: Denominator for recommended treatment is number who had a new or different treatment. More than one new or different treatment may be recommended.

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Table 2.23. Out-patient Self-Report of Outpatient Cardio-pulmonary Events During Second Year of Annual Follow-up by Center

Outpatient Events - Cardio-pulmonary	<u>Bronx</u>		<u>Chicago</u>		<u>Miami</u>		<u>San Diego</u>		<u>Overall</u>	
	N	%	N	%	N	%	N	%	N	%
Number of Participants with AFU Present	1482		1869		1591		1950		6892	
AFU:EVEA - Out-patient Conditions Form	1355	91.4	1835	98.2	1514	95.2	1947	99.9	6651	96.5
Since the Last HCHS/SOL Visit told by MD										
Atrial fibrillation	13	1.0	24	1.3	4	0.3	10	0.5	51	0.8
Heart failure	27	2.0	28	1.5	15	1.0	23	1.2	93	1.4
Blood clot in leg or lung requiring blood thinner	30	2.2	45	2.5	9	0.6	19	1.0	103	1.6
Since the Last HCHS/SOL Viist										
Swelling in feet or ankles at end of day	329	24.3	341	18.6	264	17.4	246	12.6	1180	17.7
Waking up at night with difficulty breathing	234	17.3	156	8.5	162	10.7	131	6.7	683	10.3
Shortness of breath when hurrying or walking uphill	556	41.0	386	21.0	296	19.6	371	19.1	1609	24.2
Stop for breath walking at own pace on level pavement	283	20.9	142	7.7	118	7.8	156	8.0	699	10.5
Difficulty breathing when not active	133	9.8	77	4.2	74	4.9	100	5.1	384	5.8
Cough on most days or nights of week last 3 mo.	138	10.2	77	4.2	88	5.8	68	3.5	371	5.6
Phlegm from chest most days or night of week last 3 mo.	140	10.3	100	5.5	70	4.6	80	4.1	390	5.9
Wheezing or whistling in chest since last visit	207	15.3	180	9.8	118	7.8	141	7.2	646	9.7

Based on analysis files created on January 20, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

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Table 2.24. Out-patient Self Report of Sleep Related Events During Second Year of Annual Follow-up by Center

Outpatient Events - Sleep related	<u>Bronx</u>		<u>Chicago</u>		<u>Miami</u>		<u>San Diego</u>		<u>Overall</u>	
	N	%	N	%	N	%	N	%	N	%
Number of Participants with AFU Present	1482		1869		1591		1950		6892	
AFU:EVEA - Out-patient Conditions Form	1355	91.4	1835	98.2	1514	95.2	1947	99.9	6651	96.5
Frequency of snoring now										
Never	251	18.5	181	9.9	225	14.9	239	12.3	896	13.5
Rarely	110	8.1	230	12.5	83	5.5	326	16.7	749	11.3
Sometimes	381	28.1	419	22.8	356	23.5	472	24.2	1628	24.5
Always or almost always	294	21.7	398	21.7	342	22.6	389	20.0	1423	21.4
Do not know	265	19.6	496	27.0	378	25.0	283	14.5	1422	21.4
Since last MD told had sleep apnea	73	5.4	60	3.3	17	1.1	69	3.5	219	3.3
Treated for sleep apnea										
Surgery	4	5.5	8	13.3	1	5.9	6	8.7	19	8.7
Use of dental appliance	11	15.1	2	3.3	4	23.5	8	11.6	25	11.4
Use of oxygen during sleep	18	24.7	6	10.0	4	23.5	11	15.9	39	17.8
Use pressure machine	26	35.6	23	38.3	5	29.4	23	33.3	77	35.2

Based on analysis files created on January 20, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

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Table 2.25. Out-patient Self-Report of Hearing Related Events During Second Year of Annual Follow-up by Center

	<u>Bronx</u>		<u>Chicago</u>		<u>Miami</u>		<u>San Diego</u>		<u>Overall</u>	
	N	%	N	%	N	%	N	%	N	%
Outpatient Events - Hearing related										
Number of Participants with AFU Present	1482		1869		1591		1950		6892	
AFU:EVEA - Out-patient Conditions Form	1355	91.4	1835	98.2	1514	95.2	1947	99.9	6651	96.5
Current hearing (w/o use of an aide)										
Excellent	428	31.6	518	28.2	476	31.4	446	22.9	1868	28.1
Good	606	44.7	883	48.1	662	43.7	975	50.1	3126	47.0
A little trouble hearing	188	13.9	191	10.4	180	11.9	202	10.4	761	11.4
Moderate trouble hearing	59	4.4	92	5.0	48	3.2	70	3.6	269	4.0
A lot of hearing trouble	17	1.3	24	1.3	16	1.1	15	0.8	72	1.1
Deaf	2	0.2	9	0.5	2	0.1	0	0.0	13	0.2
Refused, do not know	1	0.1	9	0.5	1	0.1	0	0.0	11	0.2
Sine last visit told needed hearing aid	31	2.3	37	2.0	30	2.0	40	2.1	138	2.1
Acquired hearing aid	14	1.0	8	0.4	11	0.7	15	0.8	48	0.7
Use hearing aid always or sometimes	9	0.7	5	0.3	10	0.7	10	0.5	34	0.5
When exposed to loud noise at work wear ear protection										
None of the time	1245	91.9	1409	76.8	1299	85.8	1498	76.9	5451	82.0
Occasionally	6	0.4	35	1.9	17	1.1	49	2.5	107	1.6
25% of the time	20	1.5	52	2.8	39	2.6	41	2.1	152	2.3
50% of the time	8	0.6	39	2.1	17	1.1	23	1.2	87	1.3
75% of the time	4	0.3	24	1.3	4	0.3	29	1.5	61	0.9
100% of the time	4	0.3	24	1.3	4	0.3	29	1.5	61	0.9

Based on analysis files created on January 20, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

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Table 2.25. Out-patient Self-Report of Hearing Related Events During Second Year of Annual Follow-up by Center

Outpatient Events - Hearing related	<u>Bronx</u>		<u>Chicago</u>		<u>Miami</u>		<u>San Diego</u>		<u>Overall</u>	
	N	%	N	%	N	%	N	%	N	%
When exposed to loud noise outside work wear ear protection										
None of the time	1258	92.8	1645	89.7	1351	89.2	1605	82.4	5859	88.1
Occasionally	6	0.4	19	1.0	2	0.1	37	1.9	64	1.0
25% of the time	17	1.3	25	1.4	15	1.0	24	1.2	81	1.2
50% of the time	7	0.5	10	0.5	4	0.3	7	0.4	28	0.4
75% of the time	3	0.2	10	0.5	1	0.1	9	0.5	23	0.4
100% of the time	3	0.2	10	0.5	1	0.1	9	0.5	23	0.4
Buzzing or ringing in ears	269	19.9	385	21.0	250	16.5	424	21.8	1328	20.0
Ringing noise usually lasts over 5 minutes}	111	8.2	145	7.9	87	5.8	156	8.0	499	7.5
Ringing noise only follows loud sounds}	66	4.9	59	3.2	28	1.9	55	2.8	208	3.1

Based on analysis files created on January 20, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

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Table 2.26(revised) Last Reported Stage for Endpoints Investigation by Center

Event Materials Status	<u>Bronx</u>		<u>Chicago</u>		<u>Miami</u>		<u>San Diego</u>		<u>Overall</u>	
	N	%	N	%	N	%	N	%	N	%
Number of participants with AFU present	3022		3007		3270		3392		12691	
Total events reported to AFU	971		634		577		594		2776	
Total eligible events for investigation	704		681		504		453		2342	
Total reported events w/tracking information	709	100.7	649	95.3	434	86.1	418	92.3	2210	94.4
Pending records request	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Release of Information requested	13	1.8	0	0.0	7	1.4	1	0.2	21	0.9
Release of Information obtained	0	0.0	2	0.3	3	0.6	0	0.0	5	0.2
Event Record requested	67	9.5	177	26.0	18	3.6	30	6.6	292	12.5
Confirmed, No event to investigate	174	24.7	90	13.2	71	14.1	136	30.0	471	20.1
Confirmed, Records Not Available	23	3.3	57	8.4	11	2.2	43	9.5	134	5.7
Medical records received for event	0	0.0	193	28.3	0	0.0	0	0.0	193	8.2
Supplemental records requested	4	0.6	2	0.3	17	3.4	0	0.0	23	1.0
Verification of medical records	1	0.1	0	0.0	0	0.0	0	0.0	1	0.0
Verification forms with ICD-9 Codes¹	419	98.4	109	85.8	292	95.7	197	94.7	1017	95.4
Verification forms Missing ICD-9 Codes¹	7	1.6	18	14.2	13	4.3	11	5.3	49	4.6
Shipping Medical records to CC	426	60.5	127	18.6	305	60.5	208	45.9	1066	45.5
Closed Event	623	88.5	274	40.2	387	76.8	387	85.4	1671	71.3
Pending Event	85	12.1	374	54.9	45	8.9	31	6.8	535	22.8

Based on analysis files created on January 20, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

Note: Source files: ETRA forms and AFU interview.

Denominator is the number of eligible events from DMS.¹ Denominator for presence of ICD-9 codes is number of eligible events shipped to CC.

Note: Closed events have either had medical records shipped to the CC, or have been confirmed locally as having no existing.

records and/or not being an event for investigation. Pending events are at an intermediate stage of investigation.

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Table 2.26a(revised) Last Reported Stage for Endpoints Investigation by Event Year

Event Materials Status	<u>2008</u>		<u>2009</u>		<u>2010</u>		<u>2011</u>		<u>Overall</u>	
	N	%	N	%	N	%	N	%	N	%
Number of participants with reported Hosp/ED visits¹	67		562		868		672		2169	
Total events reported to AFU¹	93		708		1077		824		2702	
Total eligible events for investigation	47		427		949		861		2284	
Total reported events w/tracking information	49	104.3	424	99.3	928	97.8	745	86.5	2210	96.8
Pending records request	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Release of Information requested	0	0.0	1	0.2	4	0.4	16	1.9	21	0.9
Release of Information obtained	1	2.1	0	0.0	2	0.2	0	0.0	5	0.2
Event Record requested	1	2.1	8	1.9	102	10.7	163	18.9	292	12.8
Confirmed, No event to investigate	3	6.4	101	23.7	203	21.4	144	16.7	471	20.6
Confirmed, Records Not Available	7	14.9	41	9.6	56	5.9	20	2.3	134	5.9
Medical records received for event	1	2.1	20	4.7	80	8.4	92	10.7	193	8.5
Supplemental records requested	1	2.1	3	0.7	10	1.1	9	1.0	23	1.0
Verification of medical records	0	0.0	0	0.0	0	0.0	1	0.1	1	0.0
Verification forms with ICD-9 Codes¹	33	94.3	228	91.6	450	95.7	293	98.0	1017	95.4
Verification forms Missing ICD-9 Codes¹	2	5.7	21	8.4	20	4.3	6	2.0	49	4.6
Shipping Medical records to CC	35	74.5	249	58.3	470	49.5	299	34.7	1066	46.7
Closed Event	45	95.7	391	91.6	729	76.8	463	53.8	1671	73.2
Pending Event	4	8.5	32	7.5	198	20.9	281	32.6	535	23.4

Based on analysis files created on January 20, 2012.

Note: For participants who are eligible for Annual Follow-Up (FULL_AFU_ELIGIBLE=1).

Note: Source files: ETRA forms and AFU interview.

Denominator is the number of eligible events from DMS.¹Denominator for presence of ICD-9 codes is number of eligible events shipped to CC.

1. For reported Hosp/ED visits with a non-missing event date.

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